

1 suits of the character here involved; (6) the fact that the monies awarded would
2 inure not to the individual benefit of the attorneys involved but the organizations
3 by which they are employed; and (7) the fact that in the court's view the two law
firms involved had approximately an equal share in the success of the litigation.

4 (*Id.* at p. 49.)

5 The prevailing party bears the burden of proving entitlement to an award of attorneys'
6 fees through evidence showing reasonable amounts of time and reasonable hourly rates, and
7 evidence relating to the *Serrano* factors. The trial court must first decide if the amount of time
8 and hourly rates are reasonable, and then it must weigh the *Serrano* factors to arrive at a final
9 award. "The determination of what constitutes reasonable attorney fees is committed to the
10 discretion of the trial court." (*Rey v. Madera United School Dist.* (2012) 203 Cal.App.4th 1223,
11 1240 ("*Rey*").) "The experienced trial judge is the best judge of the value of professional
12 services rendered in his court, and while his judgment is of course subject to review, it will not
13 be disturbed unless the appellate court is convinced that it is clearly wrong." (*Serrano v. Priest*,
14 *supra*, 20 Cal.3d at p. 49, citations omitted.)

15 IV. DISCUSSION

16 The City does not dispute that Plaintiffs are prevailing parties and are entitled to
17 attorneys' fees. The City vigorously objects, however, to the amount requested. The Court
18 therefore has the initial task of determining whether the hours worked, and hourly rates charged,
19 by Plaintiffs' attorneys and paralegals are reasonable. After that, it must decide if a multiplier
20 should be applied.

21 A. Evidence Submitted by the Parties

22 Plaintiffs submitted the Declaration of Morris J. Baller in Support of Plaintiff's Motion
23 for an Award of Reasonable Attorney Fees ("*Baller Decl.*") with their initial moving papers. It
24 provides a summary of the issues raised in the case, the number of hours worked by the attorneys
25 and paralegals, billing rates, the attorneys' qualifications, and several ways in which the billing
26 entries can be categorized. Plaintiffs also submitted declarations from other attorneys – Robert
27 Rubin and Richard Konda – who summarize their qualifications and experience, and describe
28 their respective roles in this litigation. In addition, Plaintiffs submitted the Declaration of

1 Richard M. Pearl in Support of Plaintiff's Motion for an Award of Reasonable Attorneys' Fees
2 ("Pearl Decl."). Mr. Pearl is an expert witness who provides opinions on whether the Plaintiffs'
3 attorneys billing rates and amounts of time are reasonable and whether or not a multiplier should
4 be applied to Plaintiffs' lodestar. With their reply brief Plaintiffs submitted supplemental
5 declarations from Messrs. Baller, Rubin and Konda. Plaintiffs later submitted the Bellows
6 declaration cited above.

7 In opposing the motion, the City submitted the declaration of Steven G. Churchwell
8 regarding developments in both this case and the *Mukoyama* action. (Declaration of Steven G.
9 Churchwell in Support of Defendant's Opposition to Motion for Attorney's Fees and Costs
10 ("Churchwell Decl.")). The City also submitted the declarations of two experts on attorneys'
11 fees, John O'Connor and Brand Cooper. (See Declaration of John D. O'Connor in Support of
12 Defendant's Opposition to Motion for Attorney's Fees and Costs ("O'Connor Decl.") and
13 Declaration of Brand Cooper in Support of Defendant's Opposition to Motion for Attorney's
14 Fees and Costs ("Cooper Decl.")). The City later submitted the Declaration of Vincent M. Vu in
15 Support of Defendant's Supplemental Opposition to Motion for Attorney's Fees ("Vu Decl.")).

16 Timesheets showing the time spent, and rates charged, by Plaintiffs' attorneys and
17 paralegals are attached to the Churchwell Declaration, Ex. F, the Supplemental Declaration of
18 Morris J. Baller in Support of Plaintiff's Motion for an Award of Reasonable Attorney Fees
19 ("Supp. Baller Decl."), Ex. 6, and the Bellows Decl., Ex. A.

20 **B. Plaintiffs' Lodestar**

21 As previously discussed, "In determining a reasonable attorney fee award under
22 fee-shifting statutes, the trial court begins by calculating a lodestar figure based on the hours
23 reasonably spent and the prevailing hourly rate for private attorneys in the community
24 conducting litigation of the same type." (*Rey, supra*, 203 Cal.App.4th at p. 1240.) Below the
25 Court evaluates the evidence submitted for and against Plaintiffs' lodestar.

26 **1. The Number of Hours Worked**

27 An attorneys' fee award should include compensation for all hours reasonably spent.
28 (*Rey, supra*, 203 Cal.App.4th at p. 1243.) "A plaintiff is not automatically entitled to all hours

1 claimed in the fee request. Rather, the plaintiff must prove the hours sought were reasonable and
2 necessary.” (*Id.* at 1243-44, citing *El Escorial Owners’ Assn. v. DLC Plastering, Inc.* (2007)
3 154 Cal.App.4th 1337.)

4 Plaintiffs initially submitted evidence showing 4004.50 hours of work by their attorneys
5 and paralegals. (Baller Decl., Ex. 4.) This total did not include time spent in 2011 and 2012 in
6 connection with sending CVRA demand letters to the City, or more recent work of junior staff
7 not directly tied to their principal assignments. (*Id.* at ¶ 84.) To account for “any potential
8 residual inefficiencies” Plaintiffs applied a five percent across-the-board reduction, which
9 reduced the fees by approximately \$121,000. The remaining time for which Plaintiffs’ motion
10 sought compensation was 3803.85 hours.

11 On reply Plaintiffs submitted evidence showing they spent an additional 315.75 hours
12 preparing the motions seeking attorneys’ fees and costs, resulting in additional fees of
13 \$173,820.55. (Supp. Baller Decl., Ex. 8.)

14 At the January 4, 2019 hearing the Court requested that Plaintiffs clarify how they
15 calculated the number of hours for which they seek compensation. The Court also requested that
16 Plaintiffs submit any additional billing records showing time spent working on post-judgment
17 matters. Plaintiffs complied with this request. (Bellows Decl., Ex. C.) The final tally of hours
18 shows Plaintiffs’ attorneys and paralegals spent 4,672.35 hours working on this case. Plaintiffs
19 then exercised “billing judgment” and deleted entries for 262.30 hours of their time. Plaintiffs
20 then reduced the remaining number of hours by five percent to account for “any potential
21 residual redundancies.” (Plaintiffs’ Memorandum of Points and Authorities in Support of
22 Motion for Award of Reasonable Attorneys’ Fees (“Plts. Memo.”), at 6.) This results in
23 4,189.55 hours which Plaintiffs argue should be used in the lodestar. (Bellows Decl., Ex. C.)

24 The City argues Plaintiffs are not entitled to recover all of their fees. They argue that
25 time recorded by Plaintiffs’ attorneys and paralegals is not compensable, including time in the
26 following categories: (1) time spent on the *Mukoyama* action; (2) time spent on Plaintiffs’
27 preliminary injunction motion; (3) vague and redacted time descriptions; (4) time spent on
28 political and media activities; (5) administrative time; and (6) overstaffing and inefficiencies.

1 (Opp. at 10-18.) The City’s experts reviewed Plaintiffs bills and identified suspect entries. They
 2 then grouped the entries that are shown on tables attached to Mr. Cooper’s declaration. The City
 3 then argues that amounts Plaintiffs seek in each group of suspect entries cannot be recovered.¹

4 **a. Time Spent on the *Mukoyama* Action**

5 The City states: “The Court dismissed the *Mukoyama* action without leave to amend
 6 because Plaintiffs failed to comply with the simple pre-filing procedures in Elections Code
 7 section 10010.” (Opp. at 11.) The City argues that time spent on unsuccessful or unrelated
 8 claims may be excluded from the lodestar calculation. (Opp. at 12, citing *Hensley v. Eckerhart*
 9 (1983) 461 U.S. 424, 440.) The City also argues that a reduced fee award is appropriate when a
 10 prevailing party achieves partial success. (*Id.*, citing *Rey, supra*, 203 Cal.App.4th at p. 1239.)
 11 More broadly, the City argues that “Plaintiffs’ futile efforts in litigating the doomed *Mukoyama*
 12 action and opposing the demurrer were predictably unreasonable, unsuccessful, and unrelated to
 13 their success” in this action. (*Id.*) The City’s expert states that \$191,000 in fees related to the
 14 *Mukoyama* action should be excluded from recovery. (Cooper Decl., Ex. N.)

15 The attorneys representing the plaintiffs in the *Mukoyama* action are the same as those in
 16 this action. The complaint filed in this action repeats the claims in the *Mukoyama* action. It
 17 makes sense to the Court that the time spent on foundational work in the *Mukoyama* action that
 18 was reused in this action can be recovered. The Court agrees with the City, however, that the
 19 time spent on the demurrer in the *Mukoyama* action did nothing to advance the issues raised in
 20 this action. As noted in the Court’s order sustaining the City’s demurrer, “Plaintiffs have not
 21 alleged compliance with the notice provision in Elections Code section 10010. Plaintiffs do not
 22 assert in their papers that proper notice was sent on or after January 1, 2017 and at least 45 days
 23 prior to filing the Complaint, and they do not argue that such an allegation could be added to an
 24 amended pleading.” For these reasons, the demurrer was sustained without leave to amend. In
 25 this action, Plaintiffs addressed the notice issues flagged in the *Mukoyama* action and thus this
 26 action moved forward to trial.

27
 28 ¹ Many entries appear in more than one group. In assessing whether the entries represent reasonable work, the Court has been careful not to double-count entries.

1 The Court finds Plaintiffs' work related to the demurrer filed in the *Mukoyama* action
2 cannot be recovered. That work did nothing to advance the substantive issues in this action.
3 After reviewing the contested time entries, the Court strikes \$77,300.00 of time relating to the
4 demurrer. The fees incurred for other work in the *Mukoyama* action can be recovered, including
5 initial client meetings, factual research, and legal research unrelated to the issues raised by the
6 demurrer.

7 **b. Plaintiffs' Preliminary Injunction Motion**

8 The City's Opposition brief states: "On July 16, 2018, at or around 5:00 p.m., a day and
9 half before the remedies phase hearing was set to begin, Plaintiffs filed a motion for preliminary
10 injunction to enjoin the City from conducting further at-large elections for City Council." (Opp.
11 at 13.) The City argues that filing for a preliminary injunction was "patently unreasonable" and
12 procedurally infirm. (*Ibid.*) The City concludes: "Plaintiffs should not be rewarded for
13 improper litigation tactics and filing late motions on the eve of trial." (*Ibid.*) The City's expert
14 stated that the fees associated with the preliminary injunction motion totaled approximately
15 \$71,000. (Cooper Decl., Ex. J.) In response, Plaintiffs argue that "[t]he brief served the same
16 function as a trial brief and was intended to provide guidance to the Court in shaping injunctive
17 relief that could be immediately implemented." (Reply at 4.)

18 The Court agrees with the City that it was somewhat bizarre for Plaintiffs to submit a
19 motion for preliminary injunction on the eve of the remedies trial. The Court agrees with
20 Plaintiffs, however, that the content of the preliminary injunction motion matched up with many
21 of the issues presented at the remedies trial. Moreover, the City provided a trial brief of similar
22 length with similar content. There were, however, some unique tasks associated with preparing
23 the motion for preliminary injunction that would have been unnecessary for a trial brief. Time
24 was spent preparing Jose Moreno's declaration when, in fact, he was a testifying witness at trial.
25 The declaration of Ginger Grimes that accompanied the preliminary injunction too was
26 unnecessary. There were also boilerplate sections on law pertaining only to preliminary
27 injunction motions. After reviewing all of the contested time entries, the Court strikes \$2,750.00
28 of time relating to the motion for preliminary injunction.

1 c. **Vague and Redacted Time Descriptions**

2 The City highlights time entries it asserts are vague. (Cooper Decl., Ex. M.) They
3 account for approximately \$73,000 in fees. (*Ibid.*) The City argues that “[v]ague billing entries
4 should be reduced because such entries may obscure the nature of the work claimed and inflate
5 the amount of non-compensable hours.” (Opp. at 13.) The City also argues that entries totaling
6 approximately \$32,000 are obscured by redactions. (Cooper Decl., Ex. O.) It argues that while
7 some redactions may be necessary to protect various privileges, redactions that “include the
8 names of individuals” go beyond any recognized privilege. (Opp. at 14.)

9 In response, Plaintiffs argue that specific time entries are not required; that California law
10 allows attorneys’ fees to be recovered on the basis of declarations that summarize attorney tasks.
11 (Reply at 7.) Plaintiffs state that “task codes” shown in their bills reveal “the nature of the
12 underlying work.” (*Id.* at 8.) Plaintiffs state that redactions are entirely appropriate. Finally,
13 Plaintiffs provide more information about these entries with their reply brief. (Baller Supp.
14 Decl., Ex. 2.)

15 With hundreds of pages of billing records, and thousands of entries, it is not surprising
16 the City was able to find vague time entries. And when they are presented as a separate list, it is
17 true that many appear vague, such as: “PC with Wes M.”; “Add to memo”; “meeting with
18 clients”; “email to Alex M.”; “Meeting over lunch”; and “Signature collection.” These entries
19 are better understood, however, when they are alongside contemporaneous billing entries. The
20 City’s list of suspect entries also includes many that are sufficiently specific, including “review
21 and respond to Court and Churchwell memos re sequencing”; “Review/edit 5/10 mtg agenda”;
22 and “Confer with G. Grimes re: mootness, legal strategy, and campaign issues.” Many “calls
23 with counsel” are also listed. Such entries may concern attorney-client communications or other
24 privileged matters that cannot be disclosed without violating the California Rules of Professional
25 Responsibility.

26 Based on its review of the entries identified as vague or redacted, and being mindful of
27 the Plaintiffs’ challenge of meeting their burden of proof without disclosing privileged
28 information, the Court strikes \$7,850.00 of time.

1 **d. Time Spent on Political and Media Activities**

2 Plaintiffs challenged the City's use of city-wide elections for each council member and
3 the mayor. As noted above, on March 6, 2018 the Santa Clara City Council voted unanimously
4 to place Measure A on the June 5, 2018 ballot. Had Measure A passed, it too would have
5 changed the City's use of city-wide elections for each council member (though the mayor would
6 still be elected city-wide). In particular, council members would be elected through two voting
7 districts, with voters electing three council members per district.

8 The City's expert contends that Plaintiffs' counsel incurred approximately \$50,000 in
9 fees participating in political activities to oppose Measure A. (Cooper Decl., Ex. L.) The City's
10 expert contends an additional \$14,000 of time was spent on media activities. Citing *Crawford v.*
11 *Board of Education* (1988) 200 Cal.App.3d 1397 ("*Crawford*"), the City argues that lobbying
12 and political activities are not generally compensable under California law. (Opp. at 15.) That
13 case states: "As we see it, the private attorney general doctrine limits awards of fees to litigants
14 who successfully utilize the *judicial process* to achieve their aims. The doctrine simply does not,
15 nor should it, encompass successful lobbying efforts by those who seek to influence the
16 Legislature or the electorate on any particular issue." (*Crawford, supra*, 200 Cal.App.3d at
17 p. 1408, italics in original.) Citing *Godinez v. Schwarzenegger* (1995) 132 Cal.App.4th 73, 93,
18 the City acknowledges that a Court can award attorneys' fees for certain political activities if the
19 success of those activities is causally connected or triggered by the litigation. But the City
20 argues nothing like that happened here. It states: "In the instant case, Plaintiffs engaged in
21 political activity concurrently with the litigation, before any court ordered remedy. Their
22 political activities were not necessary to effectuate any court order or remedy." (Defendant's
23 Supplemental Briefing in Opposition to Plaintiffs' Motion for Attorneys' Fees ("Def. Supp."),
24 at 8).

25 Plaintiffs state that neither *Crawford* nor *Godinez* "has any bearing here." (Reply at 3.)
26 They assert: "Plaintiffs unquestionably vindicated their voting rights in court and are seeking
27 compensation for political and media work intimately connected with the litigation which
28 contributed to its successful outcome." (*Ibid.*) Plaintiffs rely on federal authorities for the

1 proposition that political and media work “intimately related to the successful representation of a
2 client” can be recovered. (Plts. Memo. at 10, citing *Davis v. City & County of San Francisco*
3 (9th Cir. 1992) 976 F.2d 1536, 1545.) Plaintiffs further argue that “[p]reventing Measure A’s
4 implementation was one of Plaintiff’s core litigation goals.” (*Id.* at 11.)

5 One problem with Plaintiffs’ argument is that Measure A was never litigated; it was not a
6 “core litigation goal” because it was never before this Court. Had it been, Plaintiffs stated
7 consistently it would have violated the CVRA. That issue, however, was not litigated. The
8 Court recognizes that Plaintiffs did present legal arguments related to “the City’s two
9 multimember district plans” at the remedies trial, but that was after Measure A was defeated and
10 is not the type of “political activity” the City is challenging. Another problem is that unlike other
11 cases, including *Jenkins by Agyei v. State of Missouri*, 862 F.2d 677 (8th Cir. 1988), this is not a
12 situation where a plaintiff scored an early victory and subsequent legislative changes allowed it
13 to accomplish its litigation goals without further court action.

14 Many billing entries highlighted by the City’s expert did not, however, relate to
15 Measure A. Some concern legal analyses of strategic voting by minorities. Some concern
16 consulting with experts regarding remedies. Some concern public meetings on district-based
17 elections that were required under California law. And some concern the review of federal cases
18 on alternative voting systems. Time for those activities should not be excluded.

19 Other entries, however, are clearly political and cannot be recovered under any theory.
20 Examples include entries for “Democratic Party Meeting”; “Research South Bay Labor Council
21 endorsements for past Santa Clara city council elections”; “SCCDA Meeting”; “Review and edit
22 proposed facebook post opposing 2x3 system”; “Sierra Club meeting”; “Review Measure A
23 website”; “ballot measure opposition statement”; and “Present to APA democrats on No on
24 Measure [A]”.

25 The Court has reviewed the Plaintiffs’ billing records and strikes \$47,750.00 of time
26 involving political and media activities.

1 **e. Administrative Time**

2 The City cites hornbook law that administrative time cannot be recovered. (Opp. at 17.)
3 This is because most clerical and other overhead expenses are ordinarily embedded in an
4 attorney's hourly rates. (*Ibid.*) It is unreasonable for attorneys to charge hundreds of dollars per
5 hour for work that can be performed by clerical staff. The City's expert concludes that
6 Plaintiffs' lawyers and paralegals charged \$34,423.50 to perform administrative work. (Cooper
7 Decl., Ex. N.)

8 Plaintiffs do not dispute the legal principles at issue. They argue, however, that the
9 City's "categorization of such tasks . . . is vastly overbroad, encompassing many hours of work
10 that courts have held to be compensable at attorney and paralegal hourly rates, including time
11 spent supervising work on the case by other legal personnel, researching local rules, compiling
12 documents and exhibits for deposition and trial. . . ." (Reply at 8.) Plaintiffs concede that
13 \$11,129.50 of the work identified by the City's expert should be withdrawn. (*Ibid.*) Plaintiffs
14 argue the rest, however, is compensable.

15 The Court has reviewed the disputed billing entries identified by the City's expert. Some
16 entries concern purely clerical work that is not compensable (e.g., reviewing office supplies and
17 collecting binders). Some entries concern activities that should be billed at a paralegal hourly
18 rate instead of an attorney hourly rate (e.g., preparing an evidence appendix with new Bates
19 ranges). And some entries reflect tasks appropriate for attorneys to undertake (e.g., revisions to
20 documents filed with the court). In addition to the administrative time deducted by Plaintiffs, the
21 Court strikes \$17,125.00 of time spent on administrative or clerical activities and amounts that
22 should have been billed at attorney, not paralegal, hourly rates.

23 **f. Overstaffing and Inefficiencies**

24 The City argues that Plaintiffs' time should be reduced because the case was overstaffed,
25 which resulted in duplicative and unnecessary hours of work. (Opp. at 10-11.) The City cites
26 the declarations of both of its experts to support this argument. (See Cooper Decl., ¶¶ 45-47;
27 O'Connor Decl., ¶¶ 68-71, 77, 84-90.) Those experts express concerns about the number of
28 attorneys attending case management conferences, depositions, and each phase of trial. They

1 also express concerns over partners working on lower-level tasks, excessive conferencing,
2 excessive internal communications, and other inefficiencies. Mr. Cooper argues that the court
3 “should take no less than a 10% to 15% reduction of the fees. . . .” (Cooper Decl., ¶ 49.)

4 Plaintiffs argue that staffing levels were appropriate in nearly all instances. (Supp. Baller
5 Decl., ¶¶ 3-49.) They agree, however, to reduce their request for attorneys’ fees for trial
6 attendance by Ms. Ho and Mr. Kuwada. Plaintiffs also highlight their five percent across-the-
7 board reduction which takes into account certain inefficiencies highlighted by Messrs. Cooper
8 and O’Connor, a reduction other courts have found sufficient. (Plts. Memo. at 7, citing
9 *Ridgeway v. Wal-Mart Stores, Inc.* (N.D. Cal. 2017) 269 F.Supp.3d 975, 990 [“Plaintiffs have
10 volunteered to exercise a five percent across-the-board reduction of their lodestar . . . Such a
11 reduction accounts for just the sort of excess and redundancy that Wal-Mart targets.”].)

12 The Court finds the staffing decisions, and overall staffing structure, were reasonable.
13 This was fast-moving litigation. It featured a greater percentage of work requiring specialized
14 knowledge and expertise. There was less low level work than most actions because the case
15 focused on trials, not pre-trial work such as discovery and law-and-motion practice. Mr. Cooper
16 argues the Court should reduce the number of hours by 10 to 15 percent. On their own Plaintiffs
17 deducted five percent of their fees for “any potential residual redundancies” which is similar to
18 the reasons given by Mr. Cooper. And after all that, the Court has further reduced the fees as
19 explained in the sections above. In sum, the Court finds that any unreasonable fees for
20 overstaffing and other inefficiencies have already been stricken – first by the Plaintiffs
21 themselves, and later by the Court.

22 **g. Bills Submitted on Reply**

23 Plaintiffs submitted additional bills from their attorneys with their reply papers. (Baller
24 Supp. Decl., Ex. 6.) The City argues there are certain entries that reflect unreasonable time.
25 (Vu Decl., ¶¶ 2-5 & Ex. A.) The Court agrees with the City, in part, and will reduce the lodestar
26 by an additional \$2,787.50.

1 **h. Conclusion**

2 In both anticipating, and responding to, the City’s arguments that its fees were not
3 reasonable, Plaintiffs eliminated certain line-items and imposed a five percent across-the-board
4 cut in their fees which reduced their lodestar by \$266,519.19. The Court finds the lodestar should
5 be further reduced by \$155,562.50 (\$77,300 + \$2,750 + \$7,850 + \$47,750 + \$17,125 +
6 \$2,787.50).

7 Consequently, the pre-judgment lodestar is the amount claimed (\$2,143,568.36) minus the
8 unrecoverable amount (\$152,775.00) which totals \$1,990,793.36. The post-judgment lodestar is
9 the post-judgment amount claimed in the opening brief (\$98,211.95) plus the amount claimed in
10 the reply (\$234,004.18) plus the amount claimed in the supplemental brief (\$48,416.28) minus the
11 unrecoverable amount (\$2,787.50) which totals \$377,844.91.

12 **2. Reasonableness of the Hourly Rates Charged**

13 Generally, in calculating the lodestar, “[t]he reasonable hourly rate is that prevailing in
14 the community for similar work.” (*PLCM Group, Inc. v. Drexler* (2000) 22 Cal.4th 1084, 1095.)
15 The Court must take into account the “experience, skill, and reputation of the attorney requesting
16 fees.” (*Heritage Pac. Fin., LLC v. Monroy* (2013) 215 Cal.App.4th 972, 1009.)

17 Plaintiffs argue the rates charged by their attorneys and paralegals are reasonable. They
18 provide information about the experience, skill, and reputation of their attorneys. The billing
19 rates for attorneys range from a high of \$975 per hour to a low of \$375 per hour charged by less
20 experienced attorneys. Plaintiffs note that their rates have been approved in other voting rights
21 cases filed in both federal and state courts. Plaintiffs also provide declaration from an expert
22 who offers an opinion that the rates charged by Plaintiffs’ attorneys and paralegals are reasonable
23 under applicable standards. (Pearl Decl., ¶¶ 39-40.)

24 The City argues the rates charged by Plaintiffs’ attorneys and paralegals are far too high.
25 The City’s expert cites much lower rates charged by local law firms, and states “the best cohort
26 for excellent San Jose litigation . . . is the prestigious firm of Hopkins & Carley” which charges
27 at most \$495 an hour for its attorneys. (O’Connor Decl., Ex. Y & ¶¶ 96, 120.) The City’s expert
28

1 cites a number of other data points and methodologies that suggest the hourly rates for Plaintiffs'
2 attorneys are unreasonably high.

3 The Court has a clear idea of the rates charged by local attorneys. This case was filed in
4 a courtroom designated for complex litigation and nearly every week this Court reviews class
5 action settlements – a legal process that requires the Court to evaluate billing rates of the
6 attorneys who appear before it. This Court also regularly adjudicates motions in which parties
7 seek to recover reasonable attorneys' fees under "prevailing party" fee provisions in contracts.
8 While every type of case requires different skills and sophistication, the Court is well-equipped
9 to calibrate local rates with work comparable to litigating the CVRA issues that were tried before
10 this Court.

11 Based on the evidence submitted by the parties, especially the declaration of Mr. Pearl,
12 and based on the Court's own experience reviewing attorneys' fees, the Court finds the rates
13 charged by Plaintiffs' attorneys and paralegals are reasonable. They are comparable to rates
14 charged by other local attorneys with specialized skills that are necessary for litigating complex
15 cases involving novel issues. This is not standard litigation with many choices for counsel. As
16 the City admits, "there are [] few CVRA attorneys within California. . . ." (Def. Supp., at 12.)

17 **C. Lodestar Multiplier**

18 "Once the lodestar is fixed, the court may increase or decrease that amount by applying a
19 positive or negative 'multiplier' to take other factors into account." (*Rey, supra*, 203
20 Cal.App.4th at p. 1240.) As noted above, factors that may be considered include those listed in
21 *Serrano*. "The trial court is not required to include a fee enhancement for exceptional skill,
22 novelty of the questions involved, or other factors. Rather, applying a multiplier is discretionary.
23 Further, the party seeking the fee enhancement bears the burden of proof." (*Id.* at p. 1242, citing
24 *Ketchum v. Moses, supra*, 24 Cal.4th at p. 1138.)

25 The Court finds a multiplier is warranted in this action for three reasons. First, Plaintiffs'
26 counsel secured a complete victory. The complaint filed on November 30, 2017 sought a finding
27 that the City's at large method of election violated the CVRA and that the City must use district-
28 based elections. Plaintiffs obtained the relief they requested.

1 Second, the legal questions at issue were both novel and difficult. The CVRA borrows,
2 in part, from the federal Voting Rights Act of 1965 and federal case law including the seminal
3 U.S. Supreme Court case *Thornburg v. Gingles* (1986) 478 U.S. 30. The CVRA, however, has
4 clear difference from federal law and few California cases provide guidance on how the CVRA
5 should apply in certain circumstances. As noted in the Court's Statement of Decision for the
6 liability phase:

7 The CVRA was enacted in 2002. It has been amended several times since then.
8 But while more than fifteen years has passed, there are only three published cases
9 interpreting its provisions: *Sanchez, supra*, 145 Cal.App.4th 660, *Rey v. Madera*
10 *Unified School Dist.* (2012) 203 Cal.App.4th 1223, and *Jauregui v. City of*
Palmdale, supra, 226 Cal.App.4th 781. None of these cases addressed issues in
dispute here.

11 Proving the CVRA violations at issue here was difficult. It involved complicated statistical
12 techniques that involved both bivariate and trivariate analyses to evaluate political cohesion and
13 the occurrence of racially polarized voting.

14 Third, compensation for the attorneys in this case was contingent and came with
15 significant risks. Plaintiffs incurred costs totaling approximately \$200,000. Without a victory,
16 those costs could not be recovered. Litigating this case required a substantial amount of time and
17 commitment and would have been uncompensated had the claims not been proven. The
18 attorneys also put their reputations at risk.

19 The other *Serrano* factors are a mixed bag. None add significant weight in favor of, or
20 against, a multiplier.

21 Plaintiffs ask for a multiplier, or lodestar adjustment, of 1.8. The City notes that "[t]he
22 purpose of a lodestar adjustment is to 'fix a fee at the fair market value for the particular
23 action.'" (Opp. at 25, citing *Graham v. DaimlerChrysler Corp.* (2004) 34 Cal.4th 553, 579.)
24 In considering an appropriate multiplier, a court must take into account the extent to which the
25 lodestar already encompasses contingent risk, extraordinary skill, and other factors. (*Ketchum v.*
26 *Moses, supra*, 24 Cal.4th at p. 1138.) Plaintiffs' counsel have significant skills and experience
27 that are, in turn, reflected in their hourly rates. Likewise, the contingent risk was lower after
28 June 6, 2018 when the Statement of Decision on liability issues was filed. Therefore, while the

1 relevant *Serrano* factors warrant a multiplier, the Court finds that to calculate the fair market
2 value for the attorneys who represented the Plaintiffs the multiplier should be 1.4 for work
3 completed before judgment was entered on July 24, 2018. Plaintiffs did not seek a multiplier for
4 later work.

5 **D. Recovery of Costs Incurred in Seeking Attorneys' Fees**

6 Plaintiffs seek \$8,712.50 in costs associated with the work of Mr. Pearl, an expert who
7 submitted a declaration supporting Plaintiffs' position that the hourly rates charged by its
8 attorneys were reasonable. The parties have reached a private agreement regarding recovery of
9 these costs. For purposes of this motion, the request to recover Mr. Pearl's costs is denied.

10 **V. DISPOSITION**

11 Based on the foregoing, Plaintiffs' motion for attorneys' fees is GRANTED. However,
12 the Court finds that fees incurred by Plaintiffs' attorneys and paralegals totaling \$155,562.50 are
13 unreasonable and cannot be recovered. Judgment was entered on July 24, 2018. Of the fees
14 found to be unreasonable, \$152,775.00 were incurred pre-judgment and \$2787.50 were incurred
15 post-judgment.

16 As noted above, the pre-judgment lodestar is the amount claimed (\$2,143,568.36) minus
17 the unrecoverable amount (\$152,775.00), which totals **\$1,990,793.36**. The post-judgment
18 lodestar is the post-judgment amount claimed in the opening brief (\$98,211.95) plus the amount
19 claimed in the reply (\$234,004.18) plus the amount claimed in the supplemental brief
20 (\$48,416.28) minus the unrecoverable amount (\$2,787.50), which totals **\$377,844.91**.

21 Plaintiffs requested a multiplier of 1.8. The Court finds this multiplier is too high and
22 that a multiplier of 1.4 is reasonable for fees incurred on or before July 24, 2018 when judgment
23 was entered. No multiplier will be applied to fees incurred after July 24, 2018. The recoverable

24 ///

25 ///

26 ///

27 ///

28

1 pre-judgment attorneys' fees are the product of 1.4 and \$1,990,793.36, which equals
2 \$2,787,110.70. The recoverable post-judgment attorneys' fees are \$377,844.91. The sum of
3 these numbers is the total attorneys' fees granted to Plaintiffs: **\$3,164,955.61**.

4
5 Dated: January 22, 2019

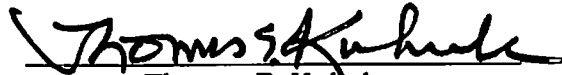

6 Thomas E. Kuhle
7 Judge of the Superior Court
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EXHIBIT G

FILED

NOV 02 2018

Clerk of the Court
Superior Court of CA County of Santa Clara
BY Jee Jee Vizconde DEPUTY

SUPERIOR COURT OF CALIFORNIA
COUNTY OF SANTA CLARA

FRANK SICILIANO and MELISSA BLEAK,
individually and on behalf of all others similarly
situated,

Plaintiffs,

vs.

APPLE, INC., a California corporation,

Defendants.

Case No.: 2013-1-CV-257676

**ORDER AFTER HEARING ON
NOVEMBER 2, 2018**

Final Fairness Hearing

The above-entitled matter came on regularly for hearing on Friday, November 2, 2018 at 9:00 a.m. in Department 1 (Complex Civil Litigation), the Honorable Brian C. Walsh presiding. A tentative ruling was issued by the Court on November 1, 2018. No party contested the tentative ruling and no party appeared; therefore, the Court orders that the tentative ruling be adopted and incorporated herein as the Order of the Court, as follows:

This is a class action arising from the automatic renewal of "In-App Subscriptions" for digital content through defendant Apple Inc.'s "App Store." The parties have reached a settlement, which the Court preliminarily approved on July 20, 2018.

1 Before the Court are plaintiffs' motions (1) for final approval of the settlement and (2) for
2 approval of attorney fees, costs, and service awards. One class member has submitted an
3 objection to various aspects of the settlement, and this objector also opposes plaintiffs' motions.
4

5 I. Factual and Procedural Background

6

7 According to the allegations of the Third Amended Complaint ("TAC"), consumers enter
8 into transactions for In-App Subscriptions with Apple, and Apple both delivers and charges
9 subscribers for associated content. (TAC, ¶ 21.) However, Apple does so without complying
10 with various provisions of Business & Professions Code sections 17600-17604, which govern
11 automatic renewal and continuous service offers to consumers in California (the "Automatic
12 Renewal Law" or "ARL").
13

14 In order to make purchases from Apple's online store, consumers are first required to set
15 up an account with Apple's iTunes service, which includes creating an "Apple ID" and
16 password, providing payment information, and consenting to three legal agreements
17 (collectively, the "Legal Agreements"). (TAC, ¶ 23.) Paragraph 45 of the "MAC App Store,
18 App Store and iBooks Store Terms and Conditions" (the "App Store Legal Agreement")
19 establishes terms and conditions pertaining to In-App Subscriptions. (*Id.*, ¶ 29.) However, the
20 Legal Agreements fail to state that In-App Subscriptions continue until cancelled or specify the
21 recurring charges associated with automatic renewals as required by the Automatic Renewal
22 Law, and they also fail to display the disclosures that are presented in a clear and conspicuous
23 fashion. (*Id.*, ¶¶ 33-34.)
24

25 When consumers later open one of the various software applications (or "Apps") that
26 offer In-App Subscriptions, Apple makes an automatic renewal offer by displaying a button
27 labeled "subscribe" or "upgrade." (TAC, ¶¶ 20, 31.) Clicking on this button causes a screen to
28 appear, which subscribers use to enter their desired subscription period, along with their Apple

1 ID and password linked to their payment method. (*Id.*, ¶ 31.) The Legal Agreements are not
2 accessible on the checkout page and are not located anywhere in the App Store, and there is no
3 mechanism that requires subscribers to consent to the Legal Agreements or any other agreement
4 containing automatic renewal terms during the checkout process for In-App Subscriptions. (*Id.*,
5 ¶¶ 34, 36.) This practice violates the Automatic Renewal Law’s requirements that businesses (1)
6 display the renewal terms in visual proximity to the offer and (2) obtain subscribers’ affirmative
7 consent to an agreement containing the terms. (*Id.*, ¶¶ 32, 38.) In addition, while Apple sends
8 subscribers a confirmation email, this email fails to meet the requirement that businesses (3)
9 provide an acknowledgement that includes the terms, cancellation policy, and information about
10 how to cancel in a manner that is capable of being retained by the subscriber. (*Id.*, ¶ 39.)
11

12 Plaintiffs Frank Siciliano and Kelila Green (who are married) ordered a one-week free In-
13 App Subscription to Hulu Plus using their Apple TV on October 9, 2013. (TAC, ¶ 9.) Beginning
14 one week later, on October 16, 2013, Apple charged and continues to charge plaintiffs Siciliano
15 and Green \$7.99 per month on a recurring basis. (*Ibid.*) Plaintiff Melissa Bleak purchased a one-
16 year In-App Subscription to Woman’s Health Magazine in February 2013. (*Id.*, ¶ 10.) In or
17 about February 2014, Apple again charged plaintiff Bleak for this subscription in accordance
18 with the payment method associated with her iTunes account. (*Ibid.*)
19

20 Plaintiffs filed this action on December 13, 2013. On April 30, 2015, they filed a second
21 amended complaint (“SAC”), asserting claims for: (1) violation of the Automatic Renewal Law;
22 (2) violations of the Unfair Competition Law (“UCL”) (Bus. & Prof. Code, §§ 17200-17204); (3)
23 injunctive relief and restitution pursuant to Business and Professions Code section 17535 (the
24 False Advertising Law or “FAL”); (4) violation of the Consumer Legal Remedies Act (“CLRA”)
25 (Civ. Code, § 1750 et seq.); and (5) common count for money had and received. On January 3,
26 2016, the Court (Hon. Kirwan) overruled Apple’s demurrer to the SAC. On May 16, 2016, it
27 granted Apple’s motion for judgment on the pleadings as to the first cause of action, holding that
28 the Automatic Renewal Law does not provide a direct, private right of action. Pursuant to the

1 parties' stipulation, plaintiffs filed the operative TAC on June 15, 2016, which re-alleges the four
2 causes of action that survived Apple's motion for judgment on the pleadings and adds a fifth
3 cause of action for declaratory relief.
4

5 On April 21, 2017, the Court denied Apple's motion for summary judgment and granted
6 plaintiffs' motion for class certification in part as to plaintiffs' theory that any subscription
7 purchased under conditions that violate the Automatic Renewal Law must be deemed a "gift"
8 under section 17603 of the statute. Certification was denied as to the third cause of action under
9 the CLRA and as to the other claims insofar as they were based on a fraud or reliance theory: the
10 Court found that plaintiffs did not show commonality regarding class members' exposure to the
11 assertedly material disclosures or articulate an appropriate basis for restitution under this theory.
12

13 Class notice was issued, and the notice period closed on October 25, 2017. The class
14 administrator received about 400 timely opt-outs. The parties subsequently discovered that the
15 original class list had omitted approximately 8,000 class members, and issued a second round of
16 notices on February 2, 2018. In total, direct notice was sent to about 4 million class members.
17

18 After the class was certified, Apple moved for summary adjudication of the class claims
19 on the ground that section 17603 does not apply to digital subscriptions. Following a hearing on
20 March 23, 2018, the Court denied Apple's motion.
21

22 The parties have now reached a settlement, which the Court preliminarily approved on
23 July 20, 2018. Plaintiffs' motions (1) for final approval of the settlement and (2) for approval of
24 attorney fees, costs, and service awards have now come on for hearing.
25
26
27
28

1 II. Legal Standard for Approving a Class Action Settlement

2
3 Generally, “questions whether a settlement was fair and reasonable, whether notice to
4 the class was adequate, whether certification of the class was proper, and whether the attorney
5 fee award was proper are matters addressed to the trial court’s broad discretion.” (*Wershba v.*
6 *Apple Computer, Inc.* (2001) 91 Cal.App.4th 224, 234-235, citing *Dunk v. Ford Motor Co.*
7 (1996) 48 Cal.App.4th 1794, disapproved of on another ground by *Hernandez v. Restoration*
8 *Hardware, Inc.* (2018) 4 Cal.5th 260.)

9
10 In determining whether a class settlement is fair, adequate and reasonable, the
11 trial court should consider relevant factors, such as the strength of plaintiffs’ case,
12 the risk, expense, complexity and likely duration of further litigation, the risk of
13 maintaining class action status through trial, the amount offered in settlement, the
14 extent of discovery completed and the stage of the proceedings, the experience
15 and views of counsel, the presence of a governmental participant, and the reaction
16 of the class members to the proposed settlement.

17
18 (*Wershba v. Apple Computer, Inc.*, *supra*, 91 Cal.App.4th at pp. 244-245, internal citations and
19 quotations omitted.)

20 The list of factors is not exclusive and the court is free to engage in a balancing and
21 weighing of factors depending on the circumstances of each case. (*Wershba v. Apple Computer,*
22 *Inc.*, *supra*, 91 Cal.App.4th at p. 245.) The court must examine the “proposed settlement
23 agreement to the extent necessary to reach a reasoned judgment that the agreement is not the
24 product of fraud or overreaching by, or collusion between, the negotiating parties, and that the
25 settlement, taken as a whole, is fair, reasonable and adequate to all concerned.” (*Ibid.*, quoting
26 *Dunk v. Ford Motor Co.*, *supra*, 48 Cal.App.4th at p. 1801, internal quotation marks omitted.)

27 The burden is on the proponent of the settlement to show that it is fair and
28 reasonable. However “a presumption of fairness exists where: (1) the settlement
is reached through arm’s-length bargaining; (2) investigation and discovery are
sufficient to allow counsel and the court to act intelligently; (3) counsel is
experienced in similar litigation; and (4) the percentage of objectors is small.”

1 (*Wershba v. Apple Computer, Inc.*, *supra*, 91 Cal.App.4th at p. 245, citing *Dunk v. Ford Motor*
2 *Co.*, *supra*, 48 Cal.App.4th at p. 1802.)

3
4 The presumption does not permit the Court to “give rubber-stamp approval” to a
5 settlement; in all cases, it must “independently and objectively analyze the evidence and
6 circumstances before it in order to determine whether the settlement is in the best interests of
7 those whose claims will be extinguished,” based on a sufficiently developed factual record.

8 (*Kullar v. Foot Locker Retail, Inc.* (2008) 168 Cal.App.4th 116, 130.)

9
10 III. Settlement Process

11
12 Plaintiffs’ counsel declares that, in addition to the substantial motion practice
13 summarized above, there has been nearly three years of formal discovery in this action.
14 Plaintiffs propounded, and defendant responded to, numerous written discovery requests, and
15 Apple produced more than 150,000 pages of records. Plaintiffs deposed six Apple witnesses.
16 They also responded to Apple’s discovery requests, including sitting for their own full-day
17 depositions.

18
19 After Apple’s motion for summary adjudication of the class claims was denied, the
20 parties agreed to mediate their dispute. They exchanged detailed mediation briefs and attended a
21 full-day mediation with Randall W. Wulff on April 4, 2018. The case did not settle at that time,
22 but the parties returned for a second session with Mr. Wulff on May 2. A settlement was
23 achieved following these efforts.

24
25 IV. Settlement Class

26
27 The Court previously certified a class of “all persons in California who purchased a third-
28 party developer’s automatically renewing In-App subscription from Apple, Inc., billed through

1 the Apple iTunes Store from December 1, 2010 to September 13, 2016,” excluding plaintiffs’
2 counsel and any employees of their firms. At preliminary approval, the Court further excluded
3 Apple employees, employees of defendant’s counsel, the Court, and the Court’s staff. Also
4 excluded from the class are those individuals who filed a timely and valid request for exclusion
5 in response to the notices that issued following class certification and in response to the notice of
6 settlement.

7 8 V. Terms and Administration of the Settlement

9
10 The non-reversionary \$16.5 million settlement includes attorney fees and expenses not to
11 exceed \$4 million, settlement administration expenses not to exceed \$290,500, and service
12 awards of up to \$2,500 each to the named plaintiffs.

13
14 The net settlement of approximately \$12 million will be distributed automatically to class
15 members, pro rata, through non-expiring credits to their iTunes accounts, or, for class members
16 without active iTunes accounts, through checks sent by mail. Funds allocated to class members
17 with neither an active iTunes account nor a mailing address on record will be redistributed to the
18 class. Funds associated with checks mailed to class members that are not cashed within 180
19 calendar days will be equally split between the National Center for Youth Law and Public
20 Counsel. Based on the 3.9 million class members estimated at preliminary approval, each class
21 member will receive approximately \$3.

22
23 Class members who do not opt out of the settlement will release all claims, including
24 claims against third-party developers, “(a) as they were alleged in the complaints, including
25 those based on alleged violations of the Automatic Renewal Law, including claims for Unfair
26 Competition Laws, money had and received, and declaratory and injunctive relief, or (b) that
27 arise from the factual allegations in Plaintiffs’ Third Amended Complaint,” including unknown
28 claims.

1 The notice process has now been completed. There are approximately 4.1 million iTunes
2 accounts included in the class, with 3.9 million that have an associated email address. After
3 excluding invalid and duplicate email addresses, as well as Apple employees and others
4 expressly excluded from the class, there were 3,709,218 accounts with valid and unique e-mail
5 addresses to which notice was sent. The administrator began emailing these notices on July 31,
6 2018. On August 1, it determined that, due to a vendor error, approximately 2.1 million notices
7 were sent without the claim ID number required to opt out of the settlement class. The
8 administrator and its vendor immediately worked to correct the error and updated the settlement
9 web site so that class members could opt out using just their e-mail address. On August 3, an
10 updated class notice including class members' claim ID was sent to affected class members.
11 Notice was also posted to a publicly-accessible web site, which received 119,377 visits.

12
13 There is one objection, which is discussed below, and 146 new requests for exclusion
14 from the class were received. (These requests are in addition to those submitted following class
15 certification.) Of the 3,709,218 emails sent, 134,076 were permanently undeliverable,
16 representing 3.62 percent of the emails. Since fewer than 10 percent of the emails were
17 undeliverable, the settlement provided that postcard notice would not be attempted. The claims
18 administrator continues to estimate that the payment to each class member will be around \$3.

19
20 VI. Fairness of the Settlement

21
22 A detailed and reasonable assessment of the risks and merits of plaintiffs' claims is set
23 forth in declarations by Julian Hammond filed in support of plaintiffs' motions for preliminary
24 and final approval. In plaintiffs' view, after all three plaintiffs admitted that they saw "pop-ups"
25 informing them that their subscriptions would renew before they subscribed, the case focused on
26 Apple's alleged failure to (1) provide the full cancellation policy pre-purchase, in that Apple did
27 not disclose the fact that subscriptions cannot be cancelled during a subscription term, and (2)
28 disclose the minimum purchase obligation, in that Apple failed to disclose that no refund would

1 issue, in whole or part, if the subscription was cancelled during a subscription term. Apple,
2 however, argued that it had made all the required disclosures, including that subscriptions would
3 auto-renew and the description of the cancellation policy. Apple urged that the requirement that
4 a business describe its cancellation policy does not require the business to disclose that ongoing
5 subscriptions cannot be cancelled for a refund. Finally, Apple might argue that the specific
6 violations at issue here did not trigger “gift” treatment under section 17603 based on that the
7 language of that section.

8
9 Notably, plaintiffs explain that Apple would argue that any recovery in this action should
10 be limited to a portion of the value of class members’ first automatic subscription renewal. This
11 is because the ARL is concerned with disclosures regarding such renewals, and class members
12 were arguably on notice that their subscriptions would continue to renew after this point.
13 Further, class members likely obtained some value from the subscriptions that they received.
14 Plaintiffs indicate that the net pro rata recovery per class member (approximately \$3) falls
15 “roughly between” the value of class members’ initial subscription terms and a portion of their
16 first automatic renewal payments. The gross benefit to class members (approximately \$4 each)
17 is greater than the average amount Apple retained for the first subscription term per unique
18 subscription, and the net benefit is greater than the average amount Apple retained for the first
19 renewal.¹

20
21 Plaintiffs believe that estimating realistic recovery based on the value of one subscription
22 term is in line with other ARL settlements, and submit evidence of similar settlements consistent
23 with this conclusion. Finally, plaintiffs explain that the parties agreed to a pro rata distribution of
24 the settlement due to the prohibitive administrative costs that would result from more precisely
25 accounting for variations in subscription prices.

26
27
28 ¹ While subscriptions primarily ranged from \$1.99 to \$18.99 per subscription term, Apple itself retained only a
portion of these payments.

1 A. The Court's View

2
3 Based on the analysis outlined above, the Court found at preliminary approval that the
4 settlement is fair and reasonable to the class. The parties reached agreement following years of
5 hard-fought litigation and months of focused arms-length bargaining. While plaintiffs had
6 cleared significant hurdles in their attempt to obtain a recovery for the class at trial, substantial
7 risks and costs remained. Following substantial motion practice, plaintiffs' case was narrowed to
8 one theory of liability, the gift theory under section 17603, a provision which has yet to be
9 interpreted by the California courts. In the Court's view, the settlement represents a good result
10 for the class. Further, given that counsel here are experienced in similar litigation and only one
11 of the millions of class members has raised an objection, the settlement is entitled to a
12 presumption of fairness. (See *Wershba v. Apple Computer, Inc.*, *supra*, 91 Cal.App.4th at p. 245
13 [a presumption of fairness exists where: (1) the settlement is reached through arm's-length
14 bargaining; (2) investigation and discovery are sufficient to allow counsel and the court to act
15 intelligently; (3) counsel is experienced in similar litigation; and (4) the percentage of objectors
16 is small].)

17
18 B. The Issues Raised by the Objector

19
20 The objection, which is attached to the administrator's declaration as Exhibit D, states
21 that neither the objector nor her attorneys intend to appear at the final fairness hearing to discuss
22 the settlement with the Court.² The objector also filed an opposition to the instant motions,
23 which expands on the issues raised in the objection.

24
25 _____
26 ² Plaintiffs and the objector spend significant portions of their respective briefing addressing other courts'
27 characterizations of the objector's attorneys as "serial" or "professional" objectors. Ultimately, the Court's duty at
28 the present time is to evaluate the settlement before it with the best interests of the class in mind and to thoroughly
consider the merits of any objections, whatever their source. However, counsel's history of and motivations
regarding the filing "serial" objections may become relevant at a later juncture.

1 The main issue raised by the objector is that the settlement will be largely paid to class
2 members in the form of iTunes credits. While the objector acknowledges that many products are
3 available for purchase from iTunes for \$3 or less,³ she argues that many class members will
4 spend more money with Apple as a result of the settlement because they will purchase more
5 expensive products. The objector further argues that class members who receive their \$3 in the
6 form of a check (because no valid email was associated with their iTunes account) are unlikely to
7 cash their checks.⁴

8
9 In response to this argument, plaintiffs urge that mailing settlement checks to millions of
10 class members would significantly and unnecessarily deplete the settlement fund. The claims
11 administrator estimates that the costs of printing and mailing checks alone would be around \$2.8
12 million, while the costs of related administrative tasks would bring the total to \$3.4 to \$4.4
13 million. The Court agrees with plaintiffs that these costs, which the objector does not address,
14 are undesirable. The class will enjoy a greater benefit by receiving iTunes credits, particularly
15 considering that the credits will not expire and will be automatically applied to class members'
16 next iTunes purchases. As the objector herself notes, class members may never cash a check for
17 the small sum at issue, so issuing iTunes credits can be expected to maintain or even increase
18 settlement participation rates. Finally, while Apple may benefit from the settlement through
19 increased sales as the objector posits, the Court does not find this problematic where any such
20 benefit will result from class members' voluntary decisions to purchase more expensive
21 products. (See *Dunk v. Ford Motor Co.*, *supra*, 48 Cal.App.4th at p. 1805, fn. 4 [“win-win”
22 settlements benefiting the defendant along with the class members are not per se unreasonable].)
23 Unlike in true “coupon settlements,” class members are being offered a genuine option to obtain

24
25 ³ The objector does not challenge plaintiffs' representations that such products include millions of movies, songs,
26 games, TV shows, and non-renewing apps.

27 ⁴ The objector also contends that class counsel's fee should be reduced since many credits may go unredeemed, an
28 issue that the Court anticipated in its order granting preliminary approval and which is addressed in connection with
plaintiffs' motion for attorney fees below.

1 products valued up to a certain amount at no additional charge. (See *Chavez v. Netflix, Inc.*
2 (2008) 162 Cal.App.4th 43, 53-54 [“While it is possible that some existing customers might be
3 induced by the free rentals to purchase a higher level of service and some past customers might
4 be induced to resume their lapsed subscriptions, the potential for Netflix to actually benefit
5 financially from the settlement is much reduced compared to a pure coupon discount
6 program.”].) The qualities of “coupon settlements” that courts have identified as troubling are
7 largely not present here. (See *Dunk v. Ford Motor Co.*, *supra*, 48 Cal.App.4th at p. 1805
8 [“[q]uestions arise as to the value of a settlement where ... the coupon relates to a ‘big ticket
9 item,’ is not transferable, represents only a tiny percentage of the purchase price, and is valuable
10 to the defendant as an inducement to promptly purchase the defendant’s product” because it
11 expires]; *In re Online DVD-Rental Antitrust Litigation* (9th Cir. 2015) 779 F.3d 934, 950
12 [settlement paid in Walmart gift cards was not a coupon settlement].) For these reasons, the
13 Court concludes that the settlement is best paid in the form of iTunes credits where possible.
14

15 The objector also contends that the settlement should not be approved without a
16 requirement that Apple will discontinue the allegedly unlawful automatic renewal practices at
17 issue. However, Apple has changed its practices since this action was filed, and plaintiffs
18 concluded that these changes addressed their allegations.⁵ The objector does not contend
19 otherwise, but insists that other settlements have included formal promises to maintain voluntary
20 changes resulting from a lawsuit. While the objector’s approach might have improved the
21 settlement, plaintiffs’ choice to accept voluntary changes must be evaluated in light of their
22 ability to obtain superior relief at trial. Ultimately, injunctive relief is not available where the
23 challenged conduct has been discontinued and there is no indication that it will be repeated in the
24 future. (See *Madrid v. Perot Systems Corp.* (2005) 130 Cal.App.4th 440, 462-466 [sustaining
25 demurrer to UCL complaint on this ground].) Consequently, the settlement is reasonable even
26 without Apple’s formal promise not to revive its old practices.
27

28 ⁵ The class was consequently defined to include only In-App subscribers who made a purchase before Apple’s practices changed, and only the claims of these subscribers are released by the settlement.

1 Finally, to the extent the objector contends that the amount of the settlement is too low,
2 the Court disagrees. The objector provides no analysis regarding the potential value of the
3 settlement, and for the reasons discussed above and in counsel's declarations, the Court finds that
4 a recovery representing a significant portion of class members' first automatic renewal
5 subscription fee is a reasonable compromise in this case and a good result for the class. Even
6 assuming that the overall settlement value should be somewhat discounted for purposes of the
7 attorney fee analysis due to potential non-participation by class members, participating class
8 members will receive fair compensation for their release of claims and the Court finds no reason
9 to conclude that the use of iTunes credits will reduce participation. While the objector speculates
10 that the attorney fee award could exceed the value of the settlement to the class assuming a ten
11 percent participation rate, the Court sees no reason to expect such low participation where class
12 members need only make a purchase using their existing iTunes accounts to receive the benefit
13 of their credits. (See *Chavez v. Netflix, Inc.*, *supra*, 162 Cal.App.4th at pp. 48-49 [more than
14 twelve percent of class members participated in a similar settlement that required them to submit
15 an online claim form before they could redeem their free DVD rentals].)

16
17 For these reasons, the objector's challenges to the relief provided by the settlement lack
18 merit.

19
20 VII. Attorney Fees

21
22 Plaintiffs seek a fee award of \$3,824,356, consistent with the \$4 million combined fee
23 and cost award that was disclosed in the class notice. The fee request amounts to 23 percent of
24 the full settlement fund and is roughly equivalent to the lodestar figure provided by plaintiffs'
25 counsel, who submit billing summaries in support of their request.

26
27 At preliminary approval, the Court directed counsel to address whether and how the
28 distribution of the settlement through iTunes credits impacts the attorney fees analysis. The

1 objector contends that iTunes credits are not equivalent to cash and should not be assigned their
2 face value; accordingly, she urges the Court to adopt the lodestar method rather than the common
3 fund method of awarding attorney fees, and to apply no multiplier or a negative multiplier. The
4 objector also contends that the Court should more carefully scrutinize the fee request here
5 because it is the subject of a “clear sailing” provision, and that plaintiffs’ counsel should be
6 required to file their detailed billing records publicly for class members’ review.

7
8 A. Appropriate Measure of Fees in This Case

9
10 As an initial matter, plaintiffs contend that class counsel are entitled to an award of fees
11 under Code of Civil Procedure section 1021.5. However, plaintiffs do not request that the Court
12 require Apple to pay additional attorney fees, but that it determine what fees are appropriately
13 paid from the common fund created by the settlement. An award under section 1021.5 would be
14 inappropriate under these circumstances. (See *Rider v. County of San Diego* (1992) 11
15 Cal.App.4th 1410, 1422 [to obtain attorney fees under section 1021.5, it must be shown that fees
16 “should not in the interest of justice be paid out of the recovery”].) The Court accordingly looks
17 to the methodologies employed by California courts to evaluate fee awards in connection with
18 similar class action settlements.

19
20 “Courts recognize two methods for calculating attorney fees in civil class actions: the
21 lodestar/multiplier method and the percentage of recovery method.” (*Wershba v. Apple*
22 *Computer, Inc., supra*, 91 Cal.App.4th at p. 254.) In *Dunk v. Ford Motor Co., supra*, 48
23 Cal.App.4th 1794, a true coupon case where class members received a coupon redeemable for
24 \$400 off a new car purchased within one year, the Court of Appeal held that it was inappropriate
25 for the trial court to use the common fund method because “the true value [of the settlement]
26 cannot be ascertained until the one-year coupon redemption period expires.” (At p. 1809.) The
27 court reversed the trial court’s order in this regard and directed that it re-calculate the attorney
28 fee award using the lodestar method. (*Ibid.*) Consistent with *Dunk*, in *Wershba*, where coupons

1 were a component of the settlement, the Court of Appeal approved the use of the lodestar method
2 to evaluate a fee award. (See *Wershba v. Apple Computer, Inc.*, *supra*, 91 Cal.App.4th 224.)
3

4 Also consistent with this approach is *Chavez v. Netflix, Inc.*, *supra*, 162 Cal.App.4th 43.
5 *Chavez* addressed a settlement that, like the settlement here, was paid in the form of free online
6 purchases but avoided the most problematic aspects of coupon settlements such as expiration
7 dates and limitations to “big ticket” items. The court valued the settlement by multiplying the
8 retail price of the service to be received by class members by the number of settlement claims
9 (which class members were required to submit in order to receive compensation in that case).
10 (*Id.* at pp. 49-50.) The court awarded fees in the range of twenty to twenty-five percent of that
11 sum, based on the market for contingency fee agreements. (*Ibid.*) However, it also calculated a
12 lodestar award and appears to have used its valuation of the settlement as a cross-check on the
13 lodestar award. (*Id.* at pp. 49-50, 60-66.)
14

15 Here, while the percentage of recovery method might also be appropriate, particularly if
16 the Court had a better indication of how many class members are likely to use their iTunes
17 credits, in the absence of reliable information about participation rates, the Court finds that
18 applying the lodestar method is a better approach. As in *Chavez*, however, it is appropriate for
19 the Court to consider the overall value of the settlement as a cross-check on the lodestar award.
20 (See *Consumer Privacy Cases* (2009) 175 Cal.App.4th 545, 557 [“It may be appropriate in some
21 cases, assuming the class benefit can be monetized with a reasonable degree of certainty, to
22 “cross-check” or adjust the lodestar in comparison to a percentage of the common fund to ensure
23 that the fee awarded is reasonable and within the range of fees freely negotiated in the legal
24 marketplace in comparable litigation.”].)
25

26 B. Lodestar Analysis

27 As an initial matter, the objector suggests that the fee request here is suspect because it is
28 made pursuant to a “clear sailing” provision whereby Apple agreed not to oppose plaintiffs’

1 request for up to \$4 million in fees. While it is true that the propriety of such agreements has
2 been debated (and federal courts may view them more critically than California courts have),
3 “clear sailing” provisions are nevertheless typically included in class action settlement
4 agreements, and many commentators view them as generally proper. (See *Consumer Privacy*
5 *Cases, supra*, 175 Cal.App.4th at p. 553.) Here, while the Court is mindful of the argument that
6 the settlement should not be valued at the full \$16.5 million, warning signs of collusion such as
7 attorney fees paid from a separate fund than the settlement or a reversion of unapproved fees to
8 the defendant are not present. Ultimately, the Court has a duty “to assure that the amount and
9 mode of payment of attorneys’ fees are fair and proper, and may not simply act as a rubber stamp
10 for the parties’ agreement.” (*Id.* at p. 555.) This duty to the class exists regardless of any “clear
11 sailing” provision and independent of any objection (*ibid.*), and the Court will fulfill it in this
12 case as in any other.

13
14 The objector also urges the Court to order plaintiffs to publicly file their complete billing
15 records for review, not only by the Court, but by the objector and other class members. While it
16 would be within the Court’s discretion to issue such an order, it declines to do so here. The
17 declarations and billing summaries submitted by counsel are adequate to enable the Court’s
18 review of the fees requested. (See *Chavez v. Netflix, Inc., supra*, 162 Cal.App.4th at p. 64
19 [“detailed time sheets are not required of class counsel to support fee awards in class action
20 cases”]; *Wershba v. Apple Computer, Inc., supra*, 91 Cal.App.4th at p. 255 [“California case law
21 permits fee awards in the absence of detailed time sheets.”].)

22
23 Turning to the substance of the lodestar analysis, the objector contends that “[c]lass
24 counsel should be limited to the \$3.3 million lodestar submitted in their motion for preliminary
25 approval, with a negative multiplier applied to account for the limited benefit provided by the
26 iTunes credits that may be rendered worthless.” The objector does not challenge counsel’s
27 hourly rates, which the Court deems reasonable. She also does not challenge any specific aspect
28 of the pre-settlement time reflected on counsel’s summary. In the Court’s view, the time

1 reflected on counsel's summary is reasonable for a complex class action such as this one. The
2 approximately \$500,000 in post-settlement time is also reasonable. In particular, the Court finds
3 that the time plaintiffs' counsel spent defending the settlement from the objector benefitted the
4 class.

5
6 Even assuming that time spent preparing the motion for attorney fees should not be
7 included in the lodestar, the 1.03 multiplier requested by plaintiffs is on the low end of what the
8 Court would approve considering the novelty of ARL class action litigation and the contingent
9 and highly uncertain nature of a recovery in such an action. (See *Ketchum v. Moses* (2001) 24
10 Cal.4th 1122, 1132 [discussing factors justifying an adjustment to the lodestar]; *Pellegrino v.*
11 *Robert Half Internat., Inc.* (2010) 182 Cal.App.4th 278, 291 [approving a 1.75 multiplier based
12 on the novelty, difficulty, and contingent risk of the case].) Even if *all* of the \$500,000 in post-
13 settlement time challenged by the objector were deducted from the lodestar of \$3,716,624, the
14 \$3,824,356 requested by plaintiffs would result in a multiplier of 1.19. This multiplier is fully
15 justified by the record in this case, and the Court accordingly finds that the fees requested by
16 plaintiff are properly awarded under the lodestar method.

17
18 Finally, as a cross-check, the Court finds that a recovery of around 23 percent of the full
19 settlement fund is reasonable in this case. Contrary to the objector's argument, the Court finds
20 that the iTunes credits to be awarded here provide real value to the class. While some class
21 members who are no longer using their iTunes accounts may forgo the option to use them, the
22 Court sees no reason to value unused credits as "worthless" considering they will never expire.
23 Moreover, the majority of class members can be expected to promptly benefit from their credits,
24 considering they will be automatically applied to class members' next iTunes purchases. Thus,
25 while the uncertain participation rate might warrant a modest discount to the value of the
26 settlement, it is reasonably certain that it will ultimately have a value near to its face value.

1 VIII. Costs and Incentive Awards⁶

2
3 Plaintiffs also request \$175,643.91 in litigation costs, which, when combined with the
4 attorney fee award approved above, will equal the \$4 million estimate provided at preliminary
5 approval. The costs are reasonable based on the summaries provided and are approved. The
6 \$175,000 in administrative costs, below the estimated \$290,500, are also approved.

7
8 Finally, the named plaintiffs request service awards of \$2,500 each. To support their
9 requests, they submit declarations in which they describe their efforts on the case. The Court
10 finds that the class representatives are entitled to enhancement awards and the amounts requested
11 are reasonable.

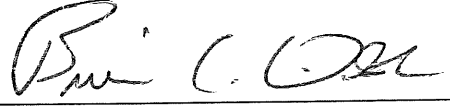
12
13 IX. Conclusion and Order

14
15 The motion for final approval of the settlement is GRANTED. The motion for approval
16 of attorney fees, costs, and service awards is also GRANTED.

17
18 The objector has filed an application for leave to intervene, which will be heard on
19 November 30, 2018. Pursuant to the stipulation of the parties made in open court, the five-year
20 limitation in Code of Civil Procedure Section 583.310 is extended until May 2, 2019 or until
21 further order of the Court.

22
23 IT IS SO ORDERED.

24
25 Dated: November 2, 2018

26 
27 Honorable Brian C. Walsh
28 Judge of the Superior Court

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EXHIBIT H



FILED
ALAMEDA COUNTY

AUG 04 2017

CLERK OF THE SUPERIOR COURT
By *[Signature]* Deputy

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17 Attorneys for Defendants

18 **SUPERIOR COURT OF THE STATE OF CALIFORNIA**
19 **COUNTY OF ALAMEDA**

20 JORDAN WILLEY, individually and on
21 behalf of all those similarly situated,

22 Plaintiffs,

23 vs.

24 TECHTRONIC INDUSTRIES NORTH
25 AMERICA, INC., a corporation; R&B
26 SALES & MARKETING INC., a
corporation; and DOES ONE through TEN
inclusive

27 Defendants.
28

Case No.: RG16806307

ASSIGNED FOR ALL PURPOSES TO HON.
WINIFRED Y. SMITH
DEPARTMENT 21

~~PROPOSED~~ JUDGMENT AND FINAL
ORDER APPROVING SETTLEMENT OF
CLASS ACTION

Date: July 28, 2017
Time: 10:00 a.m.

JUDGMENT

1 WHEREAS, the Parties to this Litigation reached a proposed settlement, as set forth in their
2 Stipulation and Agreement to Settle Class Action (“Settlement Agreement”), and Plaintiff filed a
3 motion for preliminary approval on March 2, 2017. On April 4, 2017, the Court granted preliminary
4 approval.

5 WHEREAS, the Court determined that this Litigation could be maintained as a class action for
6 settlement purposes only. It thereafter certified the following Class for settlement purposes only: all
7 persons who are or were employed (1) in California; (2) by either Defendant; (3) in a Covered Job
8 Position; (4) at any point during the Class Period. As set forth in the Settlement Agreement “Covered
9 Job Position” means California non-exempt positions with the following titles: Single Store
10 Representative, Field Sales, Field Sales Representative, Field Sales and Marketing Representative,
11 Field Service Representative, and Multi-Store Representative, and “Class Period” means any time
12 between March 3, 2012 and January 31, 2017.

13 WHEREAS, thereafter, a Notice of Class Action Settlement was sent to Settlement Class
14 Members in accordance with the terms of the Settlement Agreement. Settlement Class Members were
15 afforded the opportunity to exclude themselves or object, and a hearing was held on August 4, 2017, to
16 entertain any such objections.

17 WHEREAS, no objection having been received and the Court being fully informed, the Court
18 determines that the proposed Settlement is fair, reasonable, and adequate.

19 NOW, THEREFORE, IT IS HEREBY FINALLY ADJUDGED AND ORDERED THAT:

- 20 1. The Parties’ Settlement Agreement is in all respects fair, reasonable, and adequate, and
21 it is hereby approved and incorporated herein, except that any *cy pres* award shall be
22 distributed as follows: (a) 50% to the “child advocacy program” at Valley Children’s
23 Hospital, located at 9300 Valley Children's Place, Madera, CA 93636; (b) 25% to the
24 California State Treasury for deposit in the Trial Court Improvement and Modernization
25 Fund; and (c) 25% to the California State Treasury for deposit in the Equal Access Fund
26 of the Judicial Branch.
- 27 2. The Parties to the Settlement Agreement shall implement the Settlement Agreement
28 according to its terms (with the *cy pres* distribution stated in the previous paragraph).

- 1 3. This Judgment and Final Order shall have a res judicata effect and bar each Plaintiff and
2 each Settlement Class Member who has not been excluded from the Settlement Class
3 from bringing any action asserting "Released Claims" as that term is defined in the
4 Settlement Agreement. The Judgment and Final Order will have the same effect for
5 Fair Labor Standards Act claim purposes for Settlement Class Members only if they opt
6 in by cashing their settlement check.
- 7 4. The cashing of the settlement check by a Settlement Class Member shall be deemed to
8 be an opt-in for purposes of the Fair Labor Standards Act claims referred to in the
9 Released Claims definition contained in the Settlement Agreement.
- 10 5. The Court approves Attorneys' Fees in the amount of \$1,166,666.67 because Class
11 Counsel's request falls within the range of reasonableness and the result achieved
12 justifies the requested Attorneys' Fees. Ten percent of the fee award shall be held in an
13 interest-bearing account, maintained either by the claims administrator or by class
14 counsel, pending the submission and approval of a final compliance status report after
15 completion of the distribution process. The Court further finds that Class Counsel's
16 2017 hourly rates are reasonable and commensurate with the prevailing rates for class
17 actions.
- 18 6. The Court approves Class Counsel's request for reimbursement of Litigation Costs in
19 the amount of \$15,000.00.
- 20 7. The Court approves payment of \$20,000.00 as penalties authorized by the Private
21 Attorneys General Act of which 75% will be paid to the Labor and Workforce
22 Development Agency and 25% will be added back to the Class Member Settlement
23 Fund to be distributed to the Settlement Class Members.
- 24 8. The Court approves payment not to exceed \$25,000.00 to the Settlement Administrator.
- 25 9. The Court approves payment of a Service Award in the amount of \$10,000.00 to
26 Plaintiff as set forth in the Settlement Agreement.
- 27 10. Without affecting the finality of this Order, the Court shall retain continuing jurisdiction
28 over this action and the parties under California Rule of Court 3.769(h), including all

1 Settlement Class Members and over all matters pertaining to the implementation and
2 enforcement of the terms of the Settlement Agreement. Except as provided to the
3 contrary herein, any disputes or controversies arising with respect to interpretation,
4 enforcement or implementation of the Settlement Agreement shall be presented by
5 motion to the Court for resolution.

6 11. A compliance hearing will be set for April 20, 2018 to determine whether the
7 Settlement payments have been distributed to the class. A compliance status report must
8 be filed (with a courtesy copy delivered directly to Dept. 21) at least 5 court days prior
9 to the compliance hearing.

10 This Judgment and Final Order Approving Settlement of Class Action is hereby granted and the
11 Court directs that this Judgment shall be entered.

12
13 Dated:

August 4, 2017


Hon. Winifred Y. Smith
Judge of the Superior Court

EXHIBIT I

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FILED/ENDORSED
JUN 29 2017
By D. Lashley, Deputy Clerk

8 Attorneys for Plaintiffs and the Putative Class

9
10 SUPERIOR COURT OF THE STATE OF CALIFORNIA
11 FOR THE COUNTY OF SACRAMENTO

12 RAUL CARRILLO-HUESO and CHEC XIONG,
13 individually and on behalf of others similarly
situated,

14 Plaintiffs,

15 vs.

16 PLY GEM INDUSTRIES INC., and PLY GEM
17 PACIFIC WINDOWS CORPORATION, and
DOES ONE through ONE HUNDRED, inclusive,

18 Defendants.

Case No.: 34-2016-00195734-CU-OE-GDS

**~~PROPOSED~~ ORDER GRANTING FINAL
APPROVAL OF CLASS AND PAGA
ACTION SETTLEMENT, SERVICE
AWARDS TO CLASS
REPRESENTATIVES, AND ATTORNEYS'
FEES AND COSTS**

Date: June 29, 2017
Time: 9:00 AM
Dept: 35
Before: Hon. Alan G. Perkins

Complaint Filed: November 25, 2015

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1 The Court, having considered Plaintiffs' Motion for Final Approval of Class and PAGA Action
2 Settlement and Motion for Approval of Attorneys' Fees and Costs and Class Representatives' Service
3 Awards in the above-captioned action, having read and considered all of the papers of the parties and
4 their counsel, having granted preliminary approval on March 15, 2017 and directed that notice be
5 given to all Class Members of preliminary approval of the Joint Stipulation and Class and PAGA
6 Action Settlement ("Settlement Agreement" or "Settlement"), the time and location of the final
7 approval hearing, and the right to be excluded from the settlement, having received no objections or
8 opposition to the settlement, and good cause appearing, HEREBY ORDERS:

9 1. The settlement of the above-captioned action as embodied in the Settlement Agreement
10 is fully and finally approved. The Settlement Agreement is hereby incorporated by reference. Except
11 as otherwise specified herein and for purposes of this Order Granting Final Approval of Class and
12 PAGA Action Settlement, Service Awards to Class Representatives, and Attorneys' Fees and Costs
13 ("Final Approval Order"), the terms used in this Order have the meaning assigned to them in the
14 Settlement Agreement, the Notice of Proposed Class Action Settlement and Fairness Hearing ("Class
15 Notice"), and the Motions for Preliminary and Final Approval of the Class and PAGA Action
16 Settlement.

17 2. Pursuant to California Rules of Court, rule 3.769(d), this Court makes final the
18 conditional class certification contained in the Order Granting Preliminary Approval of Class and
19 PAGA Action Settlement, and thus certifies the following classes:

- 20 a. Meal Period Class: All non-exempt production workers employed by Ply Gem at the
21 Sacramento factory who were scheduled to start first shift work before 5:00 a.m. and
22 who did not take a meal period until 10:00 a.m. or later or who worked more than ten
23 (10) hours in a workday without taking a second meal break before the end of their
24 tenth (10th) hour of work during the period beginning November 25, 2011 and ending
25 January 6, 2017, except for any individuals who opted out pursuant to the instructions in
26 the Class Notice; and
- 27 b. Wage Statement Class: All persons employed by Ply Gem at their Corona or
28

1 Sacramento facilities during the period beginning November 25, 2014 and ending
2 January 6, 2017, except for any individuals who opted out pursuant to the instructions in
3 the Class Notice.

4 3. Named Plaintiffs are hereby appointed and designated, for all purposes, as the
5 representatives for the Class, and the law firm Goldstein, Borgen, Dardarian & Ho is hereby appointed
6 and designated as counsel for the Named Plaintiffs and the Classes. The Court finds that attorneys for
7 the class are experienced class action litigators and have expressed the view that the Settlement is fair,
8 reasonable, and adequate, which further supports the Settlement.

9 4. The Court hereby finds that the Class Notice and related documents have been mailed to
10 all Class Members as previously ordered by the Court, and that such Class Notice fairly and adequately
11 described the terms of the proposed Settlement Agreement, the manner in which Class Members could
12 object to or participate in the Settlement, and the manner in which Class Members could opt out of the
13 Class. The Court hereby finds that the Class Notice was the best notice practicable under the
14 circumstances; was valid, due, and sufficient notice to all Class Members; and fully complied with
15 Civil Code section 1781(e), Rule of Court 3.769, due process, and all other applicable laws. The Court
16 further finds that a full and fair opportunity has been afforded to Class Members to participate in the
17 proceedings convened to determine whether the proposed Settlement Agreement should be given final
18 approval. Accordingly, the Court hereby determines that all Class Members who did not file a timely
19 and proper request to be excluded from the Settlement are bound by this Order. The Court finds that
20 Veronica Tabalada submitted a timely and valid request for exclusion and thereby is not bound by this
21 Order.

22 5. The Court deems Plaintiffs' First Amended Complaint, filed on March 14, 2017, as the
23 operative complaint in this action.

24 6. The Court finds that the Settlement Agreement is fair, reasonable, and adequate, and in
25 the best interests of Class Members. The Settlement Agreement is the product of serious, informed,
26 non-collusive negotiations, has no obvious deficiencies, does not improperly grant preferential
27 treatment to any individuals, and was entered into good faith.

1 7. Accordingly, the Court hereby finally and unconditionally approves the Settlement
2 Agreement, and specifically:

- 3 a. Approves the Total Settlement Sum of \$975,000. This is the full amount that
4 Defendants are required to pay under the Settlement Agreement, with the exception of
5 the employer’s share of any applicable payroll taxes that will be paid separately;
- 6 b. Approves Class Representative Service Awards of \$10,000 to Named Plaintiff Raul
7 Carrillo-Hueso and \$5,000 to Named Plaintiff Chec Xiong;
- 8 c. Approves Class Counsel’s attorneys’ fee request in the amount of \$325,000 because
9 Class Counsel’s request falls within the range of reasonableness, the result achieved
10 justifies the requested attorneys’ fees, and the award is warranted either under the
11 common fund or lodestar approach. The Court further finds that Class Counsel’s 2017
12 hourly rates are reasonable and commensurate with the prevailing rates for wage and
13 hour class actions;
- 14 d. Approves Class Counsel’s request for reimbursement of litigation expenses of \$15,000;
- 15 e. Approves payment to Settlement Services, Inc., the Settlement Administrator, of up to
16 \$13,700 as costs and expenses of Settlement administration;
- 17 f. Approves a PAGA Allocation of \$25,000 from the Settlement Fund of which \$18,750
18 will be distributed to the California Labor & Workforce Development Agency;
- 19 g. Approves Court Appointed Special Advocates for Children, Sacramento County and
20 Centro Legal de la Raza as the *cy pres* recipients of any remaining balance of uncashed
21 Settlement checks, paid in equal awards;
- 22 h. Approves the payment from the Net Settlement Fund of amounts determined by the
23 Settlement Administrator to be due to Class Members, as specified in the Settlement
24 Agreement.

25 8. The Court orders the following Implementation Schedule for further proceedings:

26 Before checks are mailed to Participating Class 27 Members.	Settlement Administrator shall update the address of any Class Member for whom the Parties obtain an updated mailing address.
28 Within ten (10) calendar days of the Settlement	Defendants to pay the Settlement Fund amount to

1	Effective Date as defined in the Settlement Agreement.	the Settlement Administrator.
2		Settlement Administrator to circulate calculations of Class Member awards, including necessary payroll taxes.
3		
4	Within fifteen (15) calendar days of the Settlement Effective Date.	Defendants to pay calculated payroll taxes to the Settlement Administrator.
5	Within twenty calendar (20) days of the Settlement Effective Date.	Settlement Administrator shall issue Settlement checks to Participating Class Members, Class Representatives, Class Counsel, the Settlement Administrator, and the California Labor & Workforce Development Agency.
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8	180 calendar days after mailing of checks to Participating Class Members.	Any uncashed checks become void and Settlement Administrator issues stop payments on uncashed checks.
9		
10	Fourteen (14) calendar days after last stop payment issued on uncashed Settlement check.	Settlement Administrator shall pay any residual to <i>cy pres</i> beneficiaries.
11	Ten (10) calendar days after residual paid to <i>cy pres</i> beneficiaries.	Settlement Administrator shall provide a declaration of payment to the <i>cy pres</i> beneficiary.
12	Twenty-four (24) calendar days after last stop payment issued on uncashed Settlement check.	Class Counsel files with the Court and serves on Defendants the Settlement Administrator's declaration of payment to Participating Class Members, and, if feasible, the declaration of payment to the <i>cy pres</i> beneficiaries.
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15 9. The Class Members, including the Class Representatives, shall be deemed to have, and
16 by operation of the Judgment shall have, fully, finally, and forever released, dismissed with prejudice,
17 relinquished, and discharged all Released Claims. Released Claims means any and all claims, causes
18 of action, or demands against the Released Parties during the Class Period that were asserted or could
19 have been asserted based on the facts alleged in the Complaint. Released Claims for the Meal Period
20 Class includes (a) claims for failing to receive complaint duty-free first or second meal periods, (b)
21 claims for untimely payment of all owed wages, (c) claims for failure to timely pay all wages owed
22 upon separation of employment, (d) claims for inaccurate time records, (e) claims for inaccurate wage
23 statements, (f) claims for unfair competition, and (g) any claims for damages, restitution,
24 disgorgement, civil penalties, statutory penalties, taxes, interest, and attorneys' fees or costs. Released
25 Claims for the Wage Statement Class includes (a) claims for inaccurate wage statements and (b) any
26 damages, restitution, disgorgement, civil penalties, statutory penalties, taxes, interest, and attorneys'
27 fees or costs.

1 10. The Class Representatives shall be deemed to have, and by operation of the Judgment
2 shall have, fully, finally, and forever released, dismissed with prejudice, relinquished, and discharged
3 all claims against the Released Parties based on or arising from their employment with Defendants.
4 The Class Representatives waive all rights and benefits afforded by California Civil Code section
5 1542, and do so understanding the significance of the waiver. Section 1542 provides:

6 A general release does not extend to claims which the creditor does not know or suspect
7 to exist in his or her favor at the time of executing the release, which if known by him
8 or her must have materially affected his or her settlement with the debtor.

9 The Class Representatives' general release includes all claims that were asserted in this action,
10 including the claims the Class Representatives do not know or suspect to exist in their favor against
11 Defendant.

12 11. Without affecting the finality of this Order, the Court retains exclusive and continuing
13 jurisdiction over the litigation for purposes of supervising, implementing, interpreting, and enforcing
14 this Order and the Settlement Agreement.

15 12. The Parties are hereby ordered to implement and comply with the terms of the
16 Settlement. Notice of entry of this Order and the ensuing final judgment shall be given to Class
17 Counsel on behalf of the Named Plaintiffs and all Participating Class Members. It shall not be
18 necessary to send notice of this Order or the ensuing final judgment to all Class Members.

19 **IT IS SO ORDERED.**

20 Dated: June 29, 2017



21 
22 HON. ALAN G. PERKINS
23 JUDGE OF THE SUPERIOR COURT
24 

EXHIBIT J

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16 *Attorneys for Plaintiffs and the Certified Classes*

17 **UNITED STATES DISTRICT COURT**
18 **NORTHERN DISTRICT OF CALIFORNIA**
19 **SAN JOSE DIVISION**

20 ABDUL NEVAREZ and PRISCILLA NEVAREZ,
21 on behalf of themselves and all others similarly
situated, and SEBASTIAN DEFRANCESCO,

22 Plaintiffs,

23 vs.

24 FORTY NINERS FOOTBALL COMPANY, LLC,
25 a Delaware limited liability company, et al.,

26 Defendants.

CLASS ACTION

Case No.: 5:16-cv-07013-LHK (SVK)

**DECLARATION OF RICHARD M. PEARL
IN SUPPORT OF PLAINTIFFS' MOTION
FOR REASONABLE ATTORNEYS' FEES,
COSTS AND EXPENSES**

Date: July 16, 2020
Time: 1:30 P.M.
Dept: Courtroom 8
Before: Hon. Lucy H. Koh

1 I, Richard M. Pearl, hereby declare:

2 1. I am an attorney at law licensed and duly admitted to practice before all the courts of
3 the State of California and am a member in good standing of the California State Bar. If called as a
4 witness I could and would competently testify to the following. I make this declaration in support of
5 Plaintiffs' Motion for Attorneys' Fees, Expenses, and Costs.

6 2. I am in private practice as the principal of my own law firm, the Law Offices of Richard
7 M. Pearl, in Berkeley, California. My practice now consists almost entirely of cases and issues
8 involving court-awarded attorneys' fees, expenses, and costs, including representation of parties in fee
9 litigation and appeals, consulting on fee issues, retention as an expert witness, and service as a
10 mediator and arbitrator in disputes concerning attorneys' fees and related issues. I have been retained
11 by Class Counsel from Goldstein, Borgen, Dardarian & Ho, Schneider Wallace Cottrell Konecky LLP,
12 and Peiffer, Wolf, Carr & Kane to render my opinion on the reasonableness of Plaintiffs' unopposed
13 request for an award of \$13,457,152.00 in attorneys' fees, expenses, and costs. Because the amount of
14 expenses and costs reasonably incurred in the litigation is approximately \$1.2 million, the attorneys'
15 fee portion of the requested fee-and-cost award is approximately \$12,257,000 which represents a
16 lodestar of \$11,605,473, enhanced by an extraordinarily modest multiplier based on the contingent risk
17 taken by Class Counsel, exceptional results obtained, and other relevant factors under California law.

18 3. This case involved claims under the Americans with Disabilities Act of 1990 (ADA)
19 and California's Unruh Civil Rights Act, Cal. Civ. Code §§ 51 et seq. (Unruh Act). Accordingly, my
20 opinion is based on both federal and state law regarding reasonable attorneys' fees, costs, and expenses
21 in class action cases. In addition, I agree with Class Counsel's decision to base their fee request on the
22 lodestar-multiplier method because it is more appropriate in cases like this one whose principal
23 objective and accomplishment is injunctive relief that is difficult to quantify.

24 **SUMMARY OF OPINIONS AND OVERVIEW OF DECLARATION**

25 4. Plaintiffs request attorneys' fees in the amount of approximately \$12.257 million, which
26 represents a \$11,605,473 reasonable lodestar enhanced by an extremely modest lodestar multiplier. In
27 sum, it is my opinion that based on counsel's well-documented hours and rates, the exceptional results
28 achieved, the significant risks Class Counsel assumed by representing the class on an entirely

1 contingent basis, the very high level of skill and quality of work needed to achieve this result, fee
2 awards in comparable California and federal cases, and a comparison to the percentages reasonably
3 charged in the legal marketplace, the fee requested here is reasonable under both federal and California
4 law standards and compares favorably to the fees and expenses found reasonable for similarly complex
5 litigation within this District.

6 5. This was not a run-of-the-mill class action. The case involved a systemic challenge to
7 pervasive architectural barriers in a major, newly constructed sports and entertainment complex, Levi's
8 Stadium, that was inaccessible to visitors with mobility disabilities. The case also involved challenges
9 to policies and procedures that discriminated against visitors with mobility disabilities and their
10 companions. Resolving this hard-fought litigation – which involved more than three years of intensive
11 litigation, highly contested motions, substantial, contested fact and expert discovery, and extensive
12 arms-length negotiations before two highly regarded mediators, settling just a couple of months before
13 a Phase 1 trial -- required Class Counsel's great expertise, experience, and skill. Due to counsel's
14 efforts, it resulted in a Settlement that provides extensive, comprehensive injunctive relief for the class
15 remedying more than 2,600 barriers of all types, as well as a \$24 million damages fund believed to be
16 the largest ever recovered in a class disability access case involving a public accommodation.

17 6. Under California law, whether a requested attorneys' fee is reasonable under the
18 lodestar-multiplier method involves several interrelated factors, including (1) the potential value of the
19 litigation and the results obtained on behalf of the class; (2) the litigation risks involved; (3) the
20 contingent nature of the representation; and (4) the novelty and difficulty of the issues presented
21 together with the skill shown by counsel. *Laffitte v. Robert Half Int'l, Inc.*, 1 Cal. 5th 480, 488 (2016);
22 *Lealao v. Beneficial California, Inc.*, 82 Cal. App. 4th 19, 42-43 (2000) (citing Pearl, California
23 Attorney Fee Awards (2d ed. 1998) §§ 13.1-13.7).

24 7. As applied here, given the exceptional results achieved, the great risk taken by
25 representing the Class on an entirely contingent basis, the skill and expertise required to address the
26 novel and difficult issues presented by this case and to overcome the Defendants' vigorous resistance,
27 and the attorneys' fees found reasonable in other class actions and private representations, it is my
28 opinion that Plaintiffs' carefully documented attorneys' fee of \$12,147,152 is entirely consistent with

1 the legal marketplace for comparable work and is reasonable here.

2 8. In forming my opinion, my review of Class Counsel’s declarations shows that their
3 documented net lodestar as of May 15, 2020, based on contemporaneous time records billed at each
4 attorney’s 2019 hourly rate, is \$11,605,473. I have examined the rates that each attorney has used to
5 compute the lodestar, along with each attorney’s experience and background. Based on that review, in
6 my opinion the hourly rates requested by Plaintiffs’ attorneys are well within the range of hourly rates
7 charged by comparably qualified and experienced attorneys for comparable work in the Northern
8 District of California. They also are consistent with the hourly rates found reasonable by this Court
9 and other Bay Area courts for attorneys with comparable litigation experience performing similar
10 services.

11 9. It also is my opinion that the number of hours billed by the law firms representing the
12 Class is entirely appropriate and reasonable in light of the breadth and complexity of the factual and
13 legal issues raised by an action that involved more than 2,600 unlawful barriers, Defendants’ fierce
14 resistance, the stakes involved, the high quality of the work I have reviewed, the time keeping practices
15 used to document those hours, counsel’s voluntary billing reductions, and most importantly, the
16 exceptional results obtained.

17 10. I also have reviewed Plaintiffs’ requested costs and expenses, and in my opinion, they
18 appear to be quite reasonable for a case of this breadth, complexity, and intensity.

19 11. It also is my opinion that a lodestar multiplier of 1.5 is modest and reasonable based on
20 the factors summarized in paragraph 6 above and discussed in more detail below. It also is well within
21 the range of lodestar multipliers found reasonable by both the federal and California courts in
22 comparable cases.

23 12. The following is an index to the various components of this Declaration:

- 24 • In paragraphs 4 through 11, I have provided a summary of my opinions;
- 25 • In paragraphs 13 through 19, I provide an overview of my relevant experience;
- 26 • In paragraph 20, I identify the assignment I was given by Class Counsel and the
27 documents I have reviewed;
- 28 • In paragraphs 21 through 25, I note the difference between the “lodestar” and

1 “percentage-of-the-fund” methodologies for determining attorneys’ fees in civil rights class action
2 cases under federal and California law and express my opinion that the former is more appropriate
3 here;

4 • In paragraphs 27 through 39, I opine that the hourly rates charged by Class
5 Counsel are well within the range of hourly rates charged by and awarded to Bay Area attorneys of
6 comparable experience, skill and reputation for reasonably comparable services. I support that opinion
7 with a summary of hourly rates found reasonable in numerous cases since this case began, including
8 five awards rendered by this Court, and with data on hourly rates charged by national and local law
9 firms in the Bay Area legal market for similarly complex litigation.

10 • In paragraphs 39 through 40, I opine that Class Counsel’s hours, which are
11 based on contemporaneous time records and reflect significant billing judgment, are fully justified by
12 the breadth and scope of the litigation, by the vigorous opposition, and by the excellent results
13 obtained, and are well within the expected range of hours appropriate for a case of this complexity and
14 intensity; and

15 • In paragraphs 40 through 62, I analyze the factors that California and federal
16 courts use to assess the appropriate lodestar multiplier to apply to determine reasonable attorneys’
17 fees, including the results obtained, contingent risk, and the novelty and complexity of the issues, all
18 of which support my opinion that a 1.5 multiplier would be very reasonable;

19 • In paragraphs 63 through 65, I compare the requested multiplier to comparable
20 multipliers applied in other complex cases, concluding that it is equally reasonable here;

21 • In paragraphs 66 through 70, I compare the requested fee award to fees charged
22 in private fee arrangements, concluding that it is entirely consistent with those arrangements;

23 • In paragraph 72, I review the types of expenses and costs for which Plaintiffs
24 seek reimbursement and conclude that they are of the type typically charged to fee-paying clients and
25 therefore are appropriately reimbursable here.

26 **PROFESSIONAL BACKGROUND**

27 13. Briefly summarized, my background is as follows: I am a 1969 graduate of Berkeley
28 Law (formerly Boalt Hall School of Law), University of California, Berkeley, California. I took the

1 California Bar Examination in August 1969 and passed it in November of that year, but because I was
2 working as an attorney in Atlanta, Georgia, for the Legal Aid Society of Atlanta (LASA), I was not
3 admitted to the California Bar until February 1970. I worked for LASA from October 1969 until the
4 summer of 1971, and then went to work in McFarland, California for California Rural Legal
5 Assistance, Inc. (CRLA), a statewide legal services program serving low-income persons. In 1974, I
6 moved to CRLA's central office in San Francisco, as the Director of its statewide support center
7 serving other legal services programs. In 1977, I became CRLA's Director of Litigation, where my
8 responsibilities included supervising more than fifty attorneys. In 1982-1983, I transitioned into
9 private practice, first in a small law firm, then as a sole practitioner. Martindale Hubbell rates my law
10 firm "AV." I also have been selected as a Northern California "Super Lawyer" in Appellate Law for
11 2005, 2006, 2007, 2008, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018, 2019, and 2020. A
12 true and correct copy of my resume is attached as Exhibit A.

13 14. Since 1982, my practice has been a general civil litigation and appellate practice, with
14 an increasing emphasis on cases and appeals involving court-awarded attorneys' fees. In addition to
15 serving as an advocate for litigants and their attorneys, I also have frequently been retained as an
16 expert witness and/or consultant on attorneys' fee issues. I also have lectured and written extensively
17 on court-awarded attorneys' fees before a wide variety of groups, was a member of the California State
18 Bar's Attorneys' Fees Task Force, and have testified before the State Bar Board of Governors and the
19 California Legislature on attorneys' fee issues.

20 15. I am the author of CEB's California Attorney Fee Awards, 3d Ed. (Calif. Cont. Ed. of
21 Bar 2010) and its 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018, 2019, and March 2020
22 Supplements. I also authored California Attorney Fee Awards, 2d Ed. (Calif. Cont. Ed. of Bar 1994),
23 and its annual supplements from 1995 through 2008. I also co-authored CEB's original California
24 Attorney's Fees Award Practice, published in 1983, and authored its 1984, 1985, 1987, 1988, 1990,
25 1991, 1992, and 1993 supplements. This treatise has been cited by the California appellate courts on
26 numerous occasions. *See, e.g., Graham v. DaimlerChrysler Corp.*, 34 Cal. 4th 553, 576, 584 (2004);
27 *Lolley v. Campbell*, 28 Cal. 4th 367, 373 (2002); *In re Conservatorship of Whitley*, 50 Cal. 4th 1206,
28 1214-15, 1217 (2010); *Syers Props III, Inc. v. Rankin*, 226 Cal. App. 4th 691, 698, 700 (2014).

1 Federal courts also have cited it. See *In re Hurtado*, Case No. 09-16160-A-13, 2015 WL 6941127
2 (E.D. Cal. Nov. 6, 2015); *TruGreen Cos., LLC v. Mower Bros., Inc.*, 953 F. Supp. 2d 1223, 1236 ns.
3 50, 51 (D. Utah 2013). In addition, I authored a federal manual on attorneys' fees entitled "Attorneys'
4 Fees: A Legal Services Practice Manual," published by the Legal Services Corporation. I also co-
5 authored the chapter on "Attorney Fees" in Volume 2 of CEB's *Wrongful Employment Termination*
6 *Practice*, 2d Ed. (1997). My other written publications are set out in my Resume (Exhibit A).

7 16. More than 95% of my practice is devoted to issues involving reasonable attorney's fees,
8 both as an advocate and as an expert. I have been counsel in over 200 attorneys' fee applications in
9 state and federal courts, primarily representing other attorneys. I also have briefed and argued more
10 than 40 appeals, at least 30 of which have involved attorneys' fees issues. I have successfully handled
11 five cases in the California Supreme Court involving court-awarded attorneys' fees: (1) *Maria P. v.*
12 *Riles*, 43 Cal. 3d 1281 (1987), which upheld a fee award under California Code of Civil Procedure
13 "(C.C.P.)" section 1021.5 based on a preliminary injunction obtained against the State Superintendent
14 of Education, despite the fact that the case ultimately was dismissed under C.C.P. section 583; (2)
15 *Delaney v. Baker*, 20 Cal. 4th 23 (1999), which held that heightened remedies, including attorneys'
16 fees, are available in suits against nursing homes under California's Elder Abuse Act; (3) *Ketchum v.*
17 *Moses*, 24 Cal. 4th 1122 (2001), which held, *inter alia*, that contingent risk multipliers remain
18 available under California attorney fee law, despite the United States Supreme Court's contrary ruling
19 on federal law (note that in *Ketchum*, I was primary appellate counsel in the Court of Appeal and
20 "second chair" in the Supreme Court); (4) *Flannery v. Prentice*, 26 Cal. 4th 572 (2001), which held,
21 again despite an adverse United States Supreme Court ruling on federal law, that in the absence of an
22 agreement to the contrary, statutory attorneys' fees belong to the attorney whose services they are
23 based upon; and (5) *Graham v. DaimlerChrysler Corp.*, 34 Cal. 4th 553 (2004), which held, *inter alia*,
24 that the catalyst theory of attorneys' fee recovery remained valid under California law despite adverse
25 federal law and that lodestar multipliers could be applied to fee motion work. In that case, I
26 represented trial counsel in both the Court of Appeal (twice) and California Supreme Court, as well as
27 on remand in the trial court. I also represented and argued on behalf of *amicus curiae* in
28 *Conservatorship of McQueen*, 59 Cal. 4th 602 (2014), which held that statutory attorneys' fees for

1 appellate work were not considered “enforcement fees” under California law; I presented the argument
 2 relied upon by the Court. Along with the Western Center on Law and Poverty, I also prepared and
 3 filed an *amicus curiae* brief in *Vasquez v. State of California*, 45 Cal. 4th 243 (2009), which held that
 4 pre-filing settlement demands were not required to obtain fees under Code of Civil Procedure section
 5 1021.5 in non-catalyst cases. I also have handled numerous other trial court motions and appeals
 6 involving court-awarded attorneys’ fees, including: *Davis v. City & County of San Francisco*, 976 F.2d
 7 1536 (9th Cir. 1992); *Mangold v. CPUC*, 67 F.3d 1470 (9th Cir. 1995); *Velez v. Wynne*, No. 04-17425,
 8 2007 U.S. App. LEXIS 2194 (9th Cir. Jan. 29, 2007); *Camacho v. Bridgeport Financial, Inc.*, 523 F.3d
 9 973 (9th Cir. 2008); *Center for Biological Diversity v. County of San Bernardino (N.P. Nurseries)*, 185
 10 Cal. App. 4th 866 (2010); *Environmental Protection Information Center v. California Department of*
 11 *Forestry & Fire Protection et al.*, 190 Cal. App. 4th 217 (2010); *Heron Bay Home Owners Association*
 12 *v. City of San Leandro*, 19 Cal. App. 5th 376 (2018); *Guerrero v. California Dept. of Corrections and*
 13 *Rehabilitation*, 2017 U.S. App. LEXIS 12450 (9th Cir. 2017); *Orr v. Plumb*, 2019 U.S. App. LEXIS
 14 34531 (9th Cir. 2019); and *Robles v. EDD*, 38 Cal.App.5th 191 (2019). For an expanded list of my
 15 appellate decisions, see Exhibit A, pp. 4-8.

16 17. I have been retained by various governmental entities, including the State of California
 17 on several occasions, at my then current rates to consult with them and serve as an expert witness
 18 regarding their affirmative attorney fee claims. *See, e.g., In re Tobacco Cases I*, 216 Cal. App. 4th
 19 570, 584 (2013); *Department of Fair Employment and Housing v. Law School Admission Council,*
 20 *Inc.*, 2018 WL 5791869 (N.D. Cal. No. 12-cv-08130-JCS, filed Nov. 5, 2018).

21 18. I am frequently called upon to opine about the reasonableness of attorneys’ fees, and
 22 numerous state and federal courts have relied on my testimony on those issues. The following federal
 23 cases have referenced my testimony favorably:

- 24 • *Antoninetti v. Chipotle Mexican Grill, Inc.*, No. 08-55867 (9th Cir. 2012), Order
 25 filed Dec. 26, 2012, at 6;
- 26 • *Prison Legal News v. Schwarzenegger*, 608 F.3d 446, 455 (9th Cir. 2010) (the
 27 expert declaration referred to is mine);
- 28 • *Independent Living Center of S. Cal. v. Kent*, 2020 U.S. Dist. LEXIS 13019

1 (C.D. Cal. 2020);

2 • *Ridgeway v. Wal-Mart Stores, Inc.*, 269 F. Supp. 3d 975 (N.D. Cal. 2017), *aff'd*
3 *on the merits*, 269 F.3d 1066 (9th Cir. 2020);

4 • *Beaver v. Tarsadia Hotels*, 2017 U.S. Dist. LEXIS 160214 (S.D. Cal. 2017);

5 • *Notter v. City of Pleasant Hill*, 2017 U.S. Dist. LEXIS 197404, 2017 WL
6 5972698 (N.D. Cal. 2017);

7 • *Villalpondo v. Exel Direct, Inc.*, 2016 WL 1598663 (N.D. Cal. 2016);

8 • *State Comp. Ins. Fund v. Khan et al.*, Case No. SACV 12-01072-CJC(JCGx)
9 (C.D. Cal.), Order Granting in Part and Denying in Part the Zaks Defendants' Motion for Attorneys'
10 Fees, filed July 6, 2016 (Dkt. No. 408);

11 • *In re Cathode Ray Tube Antitrust Litig.*, Master File No. 3:07-cv-5944 JST,
12 MDL No. 1917, 2016 U.S. Dist. LEXIS 24951 (N.D. Cal. Jan. 28, 2016) (Report and Recommendation
13 of Special Master Re Motions (1) To Approve Indirect Purchaser Plaintiffs' Settlements With the
14 Phillips, Panasonic, Hitachi, Toshiba, Samsung SDI, Technicolor, and Technologies Displays
15 Americas Defendants, and (2) For Award of Attorneys' Fees, Reimbursement of Litigation Expenses,
16 and Incentive Awards to Class Representative, Dkt. 4351, dated January 28, 2016, adopted in relevant
17 part, 2016 U.S. Dist. LEXIS 88665;

18 • *Gutierrez v. Wells Fargo Bank*, 2015 U.S. Dist. LEXIS 67298 (N.D. Cal. May
19 21, 2015);

20 *Holman v. Experian Info. Sols., Inc.*, 2014 U.S. Dist. LEXIS 173698 (N.D. Cal. Dec. 12, 2014);

21 • *In re TFT-LCD (Flat Panel) Antitrust Litig.*, No. M 07-1827 SI, MDL No. 1827
22 (N.D. Cal.), Report and Recommendation of Special Master Re Motions for Attorneys' Fees and Other
23 Amounts by Indirect-Purchaser Class Plaintiffs and State Attorneys General, Dkt. 7127, filed Nov. 9,
24 2012, adopted in relevant part, 2013 U.S. Dist. LEXIS 49885 (N.D. Cal. 2013) (TFT-LCD (Flat Panel)
25 Report & Recommendation);

26 • *Walsh v. Kindred Healthcare*, 2013 U.S. Dist. LEXIS 176319 (N.D. Cal. Dec.
27 16, 2013);

28 • *A.D. v. California Highway Patrol*, 2009 U.S. Dist. LEXIS 110743, at *4 (N.D.

1 Cal. Nov. 10, 2009), *rev'd on other grounds*, 712 F.3d 446 (9th Cir. 2013), *reaffirmed and additional*
2 *fees awarded on remand*, 2013 U.S. Dist. LEXIS 169275 (N.D. Cal. Nov. 27, 2013);

3 • *Hajro v. United States Citizenship & Immigration Service*, 900 F. Supp. 2d
4 1034, 1054 (N.D. Cal 2012);

5 • *Rosenfeld v. U. S. Dep't of Justice*, 904 F. Supp. 2d 988, 1002 (N.D. Cal. 2012);

6 • *Stonebrae, L.P. v. Toll Bros., Inc.*, 2011 U.S. Dist. LEXIS 39832, at *9 (N.D.
7 Cal. 2011) (thorough discussion), *aff'd*, 2013 U.S. App. LEXIS 6369 (9th Cir. 2013);

8 • *Armstrong v. Brown*, 2011 U.S. Dist. LEXIS 87428 (N.D. Cal. Aug. 8, 2011);

9 • *Lira v. Cate*, 2010 WL 727979 (N.D. Cal. Feb. 26, 2010);

10 • *Californians for Disability Rights, Inc. v. California Dep't of Transportation*,
11 2010 U.S. Dist. LEXIS 141030 (N.D. Cal. Dec. 13, 2010);

12 • *Nat'l Fed'n of the Blind v. Target Corp.*, 2009 U.S. Dist. LEXIS 67139 (N.D.
13 Cal. Aug. 3, 2009);

14 • *Prison Legal News v. Schwarzenegger*, 561 F. Supp. 2d 1095 (N.D. Cal. 2008)
15 (an earlier motion);

16 • *Bancroft v. Trizechahn Corp.*, No. CV 02-2373 SVW (FMOx), Order Granting
17 Plaintiff's Reasonable Attorneys' Fees and Costs in the Amount of \$168,886.76, Dkt. 278 (C.D. Cal.
18 Aug. 14, 2006);

19 • *Willoughby v. DT Credit Corp.*, No. CV 05-05907 MMM (CWx), Order
20 Awarding Attorneys' Fees After Remand, Dkt. 65 (C.D. Cal. July 17, 2006);

21 • *Oberfelder v. City of Petaluma*, 2002 U.S. Dist. LEXIS 8635 (N.D. Cal. Jan. 29,
22 2002), *aff'd*, 2003 U.S. App. LEXIS 11371 (9th Cir. 2003).

23 19. The following California appellate cases also have referenced my testimony favorably:

24 • *Kerkeles v. City of San Jose*, 243 Cal. App.4th 88 (2015);

25 • *Habitat and Watershed Caretakers v. City of Santa Cruz*, 2015 Cal. App.
26 Unpub. LEXIS 7156 (2015);

27 • *Laffitte v. Robert Half Int'l Inc.*, 231 Cal. App. 4th 860 (2014), *aff'd*, 1 Cal. 5th
28 480 (2016);

- 1 • *In re Tobacco Cases I*, 216 Cal. App. 4th 570 (2013);
- 2 • *Heritage Pacific Fin., LLC v. Monroy*, 215 Cal. App. 4th 972 (2013);
- 3 • *Wilkinson v. South City Ford*, 2010 Cal. App. Unpub. LEXIS 8680 (2010);
- 4 • *Children’s Hosp. & Medical Center v. Bonta*, 97 Cal. App. 4th 740 (2002);
- 5 • *Church of Scientology v. Wollersheim*, 42 Cal. App. 4th 628 (1996).

6 **ASSIGNMENT AND DOCUMENTS REVIEWED**

7
8 20. In this case, as noted, I have been asked by Class Counsel to express my opinion as to
9 the reasonableness of the lodestar-multiplier-based attorneys’ fees they are requesting. To form this
10 opinion, I have reviewed numerous documents in the case, including the following:

- 11 a. The Settlement Agreement and Exhibits (ECF No. 198-2);
- 12 b. Plaintiffs’ Notice of Motion and Motion for Class Certification and memoranda
13 in support of motion and on reply;
- 14 c. Plaintiffs’ Notice of Motion and Motion for Partial Summary Judgment and
15 memoranda in support of motion and on reply;
- 16 d. Plaintiffs’ Notice of Motion and Motion for Preliminary Approval of Class
17 Action Settlement and supporting declarations (collectively, “Preliminary Approval Motion”);
- 18 e. Plaintiffs’ Notice of Motion and Motion for Attorneys’ Fees, Expenses and
19 Costs (“Fee Motion”);
- 20 f. Class Counsel’s lodestar summaries and current and historic hourly rate sheets;
21 and
- 22 g. Excerpts of Class Counsel’s billing records for this case.

23 **Lodestar-Multiplier Versus Percentage-of-The-Fund Methodologies**

24 21. This case involved claims under both the Americans with Disabilities Act and
25 California’s Unruh Civil Rights Act. Plaintiffs prevailed under both statutes, obtaining injunctive
26 relief authorized by the ADA and the Unruh Act, and a class damages fund authorized by the Unruh
27 Act. Accordingly, the attorneys’ fee-, expense- and cost- shifting provisions of both the ADA and the
28 California law apply here. *Mangold v. Cal. Pub. Utils. Comm’n*, 67 F.3d 1470, 1478 (9th Cir. 1995).

1 22. Under both federal and California law, Class Counsel’s fee award should fully
2 compensate counsel by marketplace standards. See, e.g., *Hensley v. Eckerhart*, 461 U.S 424, 431
3 (1984) (compensation appropriate for “all time reasonably expended in pursuit of the ultimate result
4 achieved in the same manner that an attorney traditionally is compensated by a fee-paying client for all
5 time reasonably expended on a matter”); *Serrano v. Unruh* (“*Serrano IV*”), 32 Cal.3d 621, 639
6 (1982).

7 23. The standard on which my opinion is based, and the standard applied in Class Counsel’s
8 fee request, is the “lodestar/multiplier” method that both federal and California courts typically use in
9 civil rights cases. See *Muniz v. United Parcel Serv., Inc.*, 738 F.3d 214, 222 (9th Cir. 2013); *Serrano*
10 *v. Priest* (*Serrano III*), 20 Cal. 3d 25, 48 (1977); *Nat’l Fedn. of the Blind v. Target Corp.*, No. C 06-
11 01802 MHP, 2009 U.S. Dist. LEXIS 67139 (N.D. Cal. Aug. 9, 2009) (awarding fees under ADA and
12 Unruh Act utilizing lodestar/multiplier approach). Under this method, the base or “lodestar” is
13 determined by multiplying the number of hours reasonably expended by the reasonable hourly rate for
14 these services. *Id.* Under California law, after the lodestar figure is determined, the Court must
15 consider other factors that go into the determination of a reasonable attorney’s fee, such as contingent
16 risk: “[T]he unadorned lodestar reflects the general local hourly rate for a fee-bearing case; it does not
17 include any compensation for contingent risk, extraordinary skill, or any other factors a trial court may
18 consider.” *Ketchum v. Moses, supra*, 24 Cal. 4th at 1138. Courts may also consider the percentage of
19 the recovery that lawyers might expect in the legal marketplace: “In cases in which the value of the
20 class recovery can be monetized with a reasonable degree of certainty and it is not otherwise
21 inappropriate, a trial court has discretion to adjust the basic lodestar through the application of a
22 positive or negative multiplier where necessary to ensure that the fee awarded is within the range of
23 fees freely negotiated in the legal marketplace in comparable litigation.” *Lealao*, 82 Cal. App. 4th at
24 49-50. The goal is to arrive at a reasonable attorney fee that compensates public interest attorneys by
25 the same marketplace standards that apply to other litigation of comparable complexity, difficulty and
26 importance: “The purpose of such adjustment is to fix a fee at the fair market value for the particular
27 action. In effect, the court determines, retrospectively, whether the litigation involved a contingent risk
28 or required extraordinary legal skill justifying augmentation of the unadorned lodestar in order to

1 approximate the fair market rate for such services.” *Graham v. Daimler Chrysler Corp.*, 34 Cal. 4th
 2 553, 579 (2004); *Serrano IV*, 32 Cal. 3d at 643.

3 24. Lodestar multipliers also are appropriate under federal law in cases like this one in
 4 which class counsel’s attorneys’ fees are negotiated as part of the overall settlement. *See, e.g. Espinosa*
 5 *v Ahearn (In re Hyundai and Kia Fuel Econ. Litig.)*, 926 F.3d 539, 570 (9th Cir. 2019) (*en banc*)
 6 (applying lodestar-multiplier method in class action where monetary recovery difficult to estimate); *In*
 7 *re High- Tech Emple. Antitrust Litigation*, 2015 U.S.Dist.LEXIS 118052, ** 32, 39 (N.D. Cal. 2015),

8 25. In this case, the relief Class Counsel sought and obtained here is primarily injunctive in
 9 nature and generally would be considered non-monetizable. Accordingly, Plaintiffs’ counsel have
 10 based their fee request on the lodestar-multiplier method, and I concur in that choice. Under both
 11 California and federal law, a number of factors are considered to determine whether the fee requested
 12 is reasonable under the lodestar-multiplier method: “‘Once the court has fixed the lodestar, it may
 13 increase or decrease that amount by applying a positive or negative ‘multiplier’ to take into account a
 14 variety of other factors, including the quality of the representation, the novelty and complexity of the
 15 issues, the results obtained, and the contingent risk presented.’” *Laffite*, 1 Cal. 5th at 489, quoting
 16 *Lealao*, 82 Cal. App. 4th at 26; *Espinosa*, 926 F.3d at 570.

17 **Class Counsel’s Lodestar-Multiplier Based Fee Is Reasonable**

18 26. In my opinion, each element of Class Counsel’s lodestar-multiplier based fee of
 19 approximately \$12,257,000 is consistent with the fees charged and awarded in the Bay Area legal
 20 marketplace for comparably complex work and therefore is reasonable.

21 **Counsel’s \$11,605,473 Lodestar Is Reasonable**

22 27. Class Counsel’s \$11,605,473 lodestar, based on their 2019 hourly rates and time spent
 23 (after the exercise of billing discretion) through May 15, 2020, is as follows:

24 **Schneider Wallace Cottrell Konecky Wotkyns LLP**

Attorney	Hours	Rate	Total Fees
Guy B. Wallace	2,203.00	\$925	\$2,037,775.00
Mark T. Johnson	1,146.20	\$875	\$1,002,925.00

Attorney	Hours	Rate	Total Fees
Sarah Colby	1,303.90	\$840	\$1,095,276.00
Travis Close	1,021.10	\$680	\$694,348.00
Ryan Bonner	84.10	\$625	\$52,562.50
Abigail Laudick	524.70	\$680	\$356,796.00
Edgar Olivares	242.40	\$625	\$151,500.00
Justin Proctor	1,485.40	\$625	\$928,375.00
William Stewart	415.50	\$575	\$238,912.50
Jennifer Uhrowczik	162.10	\$725	\$117,522.50
Sam Marks	321.80	\$300	\$96,540.00
Total	8,910.20		\$6,722,532.50

Goldstein Borgen Dardarian & Ho

Attorney	Hours	Rate	Total Fees
Linda M. Dardarian, Partner	891.40	\$925	\$824,545.00
Andrew P. Lee, Partner	1449.60	\$710	\$1,028,435.00
Katharine Fisher	1,345.50	\$450	\$605,475.00
Megan Ryan	122.20	\$595	\$72,709.00
Raymond Wendell	250.30	\$475	\$118,892.00
Alan Romero	120.70	\$400	\$48,280.00
Mengfei Sun, Law Student	52.90	\$300	\$15,780.00
Jacqueline Thompson, Senior Paralegal	404.00	\$325	\$146,672.50
Scott G. Grimes, Senior Paralegal	199.50	\$325	\$64,837.50
Damon Valdez, Paralegal	293.60	\$295	\$86,612.00
Stuart Kirkpatrick, Paralegal	373.70	\$275	\$102,767.50
Total	5,549.3		\$3,115,006.00

Peiffer Wolf Carr & Kane

Attorney	Hours	Rate	Total Fees
Joseph Peiffer	39.2	975	\$38,220.00
Adam Wolf	526.1	830	\$436,663.00
Catherine Cabalo	1394.1	785	\$1,094,368.50
Tracey Cowan	56.9	710	\$38,761.00
Brandon Wise	76.0	510	\$38,760
Drew Morock	238.4	435	\$103,704.00
Tien Le	60.2	290	\$17,458.00
Total	2,390.9		\$1,767,934.50

In my opinion, as discussed more fully below, Class Counsel’s well-documented lodestar is entirely reasonable in light of counsel’s skill, qualifications, and work product, the amount of work necessitated by this complex, hard-fought case, and the exceptional results achieved.

Counsel’s Requested Hourly Rates Are Reasonable.

28. Under California law, Class Counsel are entitled to their requested hourly rates if those rates are “within the range of reasonable rates charged by and judicially awarded comparable attorneys for comparable work.” *Children’s Hosp. & Med. Ctr. v. Bonta*, 97 Cal. App. 4th 740, 783 (2002). Under federal law, Class Counsel are entitled to their requested hourly rates if those rates are “in line with” the rates charged by comparably qualified attorneys for reasonably similar services. *Blum v. Stenson*, 465 U.S. 886, 895 n. 11 (1984). In my opinion, the hourly rates requested here for work performed by Class Counsel meet both standards.

29. Through my writing and practice, I have become very familiar with the hourly rates charged by attorneys in California and elsewhere. I have obtained this familiarity in several ways: (1) by representing litigants and/or their attorneys in attorneys’ fee litigation; (2) by serving as a consultant and/or expert in numerous fee matters; (3) by discussing fees with other attorneys; (4) by reviewing declarations regarding prevailing market rates and other factors filed in my and other attorneys’ cases;

1 and (5) by reviewing attorneys' fee applications and awards in other cases, as well as surveys and
2 articles on attorney's fees in the legal newspapers and treatises.

3 30. In preparing my opinion on the reasonableness of the hourly rates requested by Class
4 Counsel, I have reviewed the Settlement Agreement in this case, numerous documents from the file
5 (*see* ¶ 20 *ante*), and Class Counsel's hourly billing rates for 2019 on which their fee request is based. I
6 have also reviewed the historical rates charged by the three Class Counsel firms throughout their
7 involvement in this case. I also have become familiar with the nature and number of issues involved
8 in this case, its outstanding results, and the level of work produced by Class Counsel, as well as Class
9 Counsel's respective backgrounds and experience.

10 31. In my opinion, for the reasons discussed below, the hourly rates that Class Counsel
11 request are eminently reasonable for this hard-fought and highly-successful litigation, under both
12 federal and California standards. Indeed, I have worked with Mr. Wallace and Ms. Dardarian and
13 other members of their firms on numerous occasions over the years, and am very familiar with their
14 extremely high level of skill, expertise, experience, and dedication in complex class action litigation
15 like this case. They are certainly among the leading class action disability access litigators in the
16 nation, and in the private legal marketplace, they would command significantly higher rates than they
17 are requesting here.

18 **Rates Charged by and Found Reasonable for Class Counsel**

19 32. Initially, my opinion regarding hourly rates is based on the fact that as described in
20 Class Counsel's declarations, many of the hourly rates requested they request here have either been
21 found reasonable by other courts, paid by fee-paying clients, or paid by defendants for settlement
22 implementation work performed by Class Counsel. In my view, this is strong evidence that the
23 requested rates are within the range of rates charged in the local legal marketplace, either because they
24 were paid by fee-paying clients or because they were found reasonable by the courts for similar
25 services. *See, e.g. In re Animation Workers Antitrust Litig.*, No. 14-CV-4062-LHK, 2016 U.S. Dist.
26 LEXIS 156720, *21 (N.D. Cal. Nov. 11, 2016) (\$1,200 rate charged to his clients by one of three lead
27 attorneys "provides a market-based cross check" on reasonableness of rate).

Rates Found Reasonable by This Court

33. The hourly rates requested here also are entirely consistent with the hourly rates found reasonable by this Court in at least five previous cases. As in those cases, the rates requested here vary by degree of experience and are well within the range of rates this Court has found reasonable for skilled and experienced class action attorneys:

a. In *Cole v. County of Santa Clara*, N.D. Cal. No. 16-CV-06594-LHK, Order Granting Final Approval of Class Settlement and Motion for Attorneys' Fees, filed March 21, 2019, a disability rights class action, this Court found the following **2018** hourly rates reasonable:

Bar Admission Date	Rate
Rosen, Bien, Galvan & Grunfeld LLP	
2006	\$650
2010	\$525
2016	\$375
Paralegals	\$225-340
Disability Rights Advocates	
1998	\$775
2005	\$655
2014	\$425
Paralegals	\$230

b. In *In re Anthem, Inc. Data Breach Litigation*, No. 15-MD-02617-LHK, 2018 U.S. Dist. LEXIS 140137 (N.D. Cal. Aug. 17, 2018), this Court found the following **2017** billing rates reasonable:

Firm	Years of Experience	2017 Rates
Altshuler Berzon		
	23-25	\$820-\$860
	16-19	\$690-770
	5-7	\$405-460
Law Clerks	--	\$285
Paralegals	--	\$250
Gibbs Law Group		
	23-29	\$740-805
	10-17	\$575-685
	17 (Assoc.)	\$395
	1-9	\$275-\$525
	5-6 (Contract Atty)	\$350-\$375
	Paralegals	\$190-\$220

Lieff Cabraser Heimann & Bernstein		
	11-16	\$510-\$675
	2-6	\$370-\$455
	0-13 (Contract Atty)	\$415
	Paralegals	\$360
Finkelstein Thompson LLP		
	24-48	\$850
	17	\$600
	20 (Of Counsel)	\$850
	12 (Of Counsel)	\$475
	4	\$300

c. In *Huynh v. Hous. Auth. of Santa Clara*, 2017 U.S. Dist. LEXIS 39138 (N.D. Cal. 2017), a tenant class action challenging the Housing Authority's policy regarding the accommodation of households with disabled family members, this Court found the following 2017 hourly rates reasonable:

Graduation Year	Rate
Law Foundation of Silicon Valley	
1990	\$800
2001	\$660
2004	\$635
2007	\$545
2008	\$545
2010	\$415
2014	\$325
2015	\$325
Fish & Richardson PC	
1996	\$862.07
2002	\$700
2005	\$676.75
2011	\$530
2007	\$475
2014	\$362.54
2015	\$329.09
2016	\$330.11
Paralegals	\$236-275

1 d. In *Animation Workers Antitrust Litigation*, N.D. Cal. No. 14-CV-4062 LHK,
 2 Order Granting Plaintiff’s Motion for Attorneys’ Fees, Expenses, and Service Awards for Settlements
 3 with *Sony Pictures Imageworks, Inc.*, *Sony Pictures Animation Inc.*, and *Blue Sky Studios Inc.*, filed
 4 November 11, 2016, reported at 2016 U.S. Dist. LEXIS 156720, a class action alleging defendants
 5 violated the antitrust laws by engaging in a fraudulent conspiracy to fix wages, the court found the
 6 following **2016** hourly rates reasonable:

Years of Experience	Rate
44	\$1,200
27	\$845
22	\$735
Paralegals	Up to \$290

7
 8
 9
 10
 11 e. In *In re High-Tech Empl. Antitrust Litig.*, 2015 U.S. Dist. LEXIS 118052
 12 (Order filed Sept. 2, 2015), an antitrust action challenging the defendants’ attempts to restrict their
 13 employees’ ability to change employers, this Court found the following **2015** hourly rates reasonable
 14 (before applying a 1.5 multiplier):

- 15 ➤ \$490.00 to \$975.00 for partners;
- 16 ➤ \$310 to \$800, with most under \$500, for non-partner attorneys
 17 including senior attorneys, of counsel, and associates;
- 18 ➤ \$190 to \$430, with most in the \$300 range, for paralegals, law clerks,
 19 and litigation support staff.

20 Even without taking into account the general inflation in legal rates over the last several years (*see*
 21 *fn.2, infra*), the **2019** rates Class Counsel request here are entirely consistent with these prior findings.

22 **Rates Found Reasonable in Other Cases**

23 34. The hourly rates requested by Class Counsel also are well within the range of the San
 24 Francisco Bay Area rates found reasonable by other local courts for reasonably comparable services:

- 25 • In *Perez v. Rash Curtis & Associates*, N.D. Cal. No. 4:16-cv-03396-YGR,
 26 Order, *inter alia*, Granting in Part and Denying in Part Motion for an Award of Attorneys’ Fees, Costs,
 27 and Expenses, filed April 17, 2020 [Doc. 427], a consumer action under both federal and state law

1 resulting in a \$267 million judgment, the court awarded counsel a percentage-based common fund fee
 2 of 25% of the fund, which it cross-checked against a lodestar-based fee that included a lodestar
 3 multiplier ranging between 13.42 and 18.15. The hourly rates from which the lodestar was derived
 4 were as follows:

Admission to Bar	Rate
PARTNERS:	
1997	\$1,000
2002	\$850
2006	\$750
2009	\$650
2013	\$550
ASSOCIATES	
2010	\$550
2013	\$525
2016	\$400
2017	\$375
2019	\$325
Law Clerk	\$300
Senior Litigation Support Spclist.	\$275-300
Litig. Support Spclist.	\$200-250

18 • In *In re Wells Fargo & Company Shareholder Derivative Litigation*, N.D. Cal.
 19 No. 16-cv-05541-JST, Order Granting Motion for Final Approval and Motion for Attorneys' Fees,
 20 filed April 7, 2020 [Doc. 312], a shareholder derivative class action, the court found the following
 21 **2020** hourly rates reasonable:

Lieff, Cabraser, Heimann & Bernstein LLP	Law School Graduation Year	Rate
	1972	\$1,075
	1998	\$950
	1993	\$900
	1984	\$850
	2000	\$775
	2001-2002	\$700
	2005	\$650

Lieff, Cabraser, Heimann & Bernstein LLP	Law School Graduation Year	Rate
	2007	\$590
	2008	\$560
	2012	\$480-510
	2015	\$440
	2017	\$395
	Law Clerk	\$375-395
	Paralegal/Clerk	\$345-390
	Litigation Support/Research	\$345-495

• In *National Federation of the Blind of California v. Uber Technologies, Inc.*, N.D. Cal. No. 14-cv-04086 NC Amended Order Granting in Part Plaintiffs’ Motion for Attorneys’ Fees and Costs, filed November 8, 2019 (Dkt. No. 203), a class action against Uber alleging that it violated federal antidiscrimination laws by allowing its drivers to refuse to accept service dogs, the court found the following **2019** hourly rates reasonable for monitoring Uber’s compliance with the settlement¹:

Rosen Bien Galvan & Grunfeld LLP Class	Rate
1997	\$800
2011	\$525
2016	\$400
Senior Paralegal	\$350
Paralegals	\$250-275

Disability Rights Advocates	Rate
1998	\$785
2014	\$470
2014	\$425
Paralegals	\$230-275

• In *Shaw et al v. AMN Service, LLC et al*, N.D. Cal. No. 3:16-cv-02816 JCS, Order Granting Plaintiffs’ Motion for Reasonable Attorneys’ Fees and Costs, filed May 31, 2019 [Doc. 167], a wage and hour class action, based in part on my testimony the court found the following

¹ The court’s initial Fee Order for work on the merits is described below at p. 24.

1 **2018** hourly rates reasonable, before applying a 2.4 lodestar multiplier:

BAR ADMISSION DATE	RATE
1996	\$835
2009	\$750
2014	\$675
1996 (Florida)	\$600
2016	\$400
2017	\$380

7 • In *Armstrong v. Brown*, N.D. Cal. No. 4:94-cv-02307-CW, Stipulated Order
 8 Confirming Undisputed Attorneys’ Fees and Costs for the Third Quarter of 2018, filed January 2, 2019
 9 (Dkt. No. 2804), a prisoners’ rights class action, the court approved the following **2018** hourly rates for
 10 monitoring the injunction in that matter:

Years of Experience	Rate
40	\$965
34	\$835
21	\$790
14	\$675

15 • In *Department of Fair Employment and Housing v. Law School Admission*
 16 *Council, Inc.*, N.D. Cal. No. 12-cv-08130-JCS, filed Nov. 5, 2018, reported at 2018 WL 5791869, an
 17 action for civil contempt based on violation of a consent decree, based in part on my testimony, the
 18 court found the following **2018** hourly rates reasonable:

Years of Experience:	Rates:
35	\$850
5 and 6	\$425
Law Clerk and 1st year	\$290

22 • In *Kaku v. City of Santa Clara, Santa Clara Superior Court*, No. 17CV319862,
 23 Fee Order filed January 22, 2019, reported at 2019 WL 331053 (Cal. Super. 2019), a voting rights
 24 action under the California Voting Rights Act, involving Goldstein, Borgen, Dardarian & Ho, and
 25 based in part on my testimony, the court found the following **2018** hourly rates reasonable, before
 26 applying a 1.4 lodestar multiplier:

Firm	Graduation Year	2018 Rate
Goldstein, Borgen, Dardarian & Ho		

	1970	\$875
	1994	\$860
	2013	\$450
	2015	\$405
	2016	\$375
Law Clerk	--	\$295
Statistician & Senior Paralegal	--	\$300
Paralegal	--	\$250
Law Office of Robert Rubin		
	1978	\$975
	2013	\$615
Asian Law Alliance		
	1978	\$550
	2009	\$375

• In *Max Sound Corp. v. Google Inc.* (N.D. Cal. Sept. 25, 2017) 2017 U.S. Dist. LEXIS 168541, a patent action dismissed by the court on defendants' motion, the court found the following hourly rates reasonable:

California Bar Admission Date	Rates Over a Two-Year Period
1995	\$905
2000	\$650-950
2007	\$504-608
2012	\$336-575

• In *In re National Collegiate Athletic Assn. Athletic Grant-In-Aid Antitrust Litigation* (N.D. Cal. Dec. 6, 2017) 2017 U.S. Dist. LEXIS 201108, *affirmed* (9th Cir. 2019) 2019 U.S. App. LEXIS 11474, a class antitrust action, the court found the following **2017** hourly rates reasonable:

Law Firm	Rate
Hagens Berman Sobol Shapiro LLP	
Senior Attorney	\$950
Other Partners	\$578-760
Associates	\$295-630
Pearson, Simon & Warshaw LLP	
Senior Attorneys	\$835-1,035
Other Partner	\$715-870
Of Counsel	\$450-900

Law Firm	Rate
Associates	\$350-635
Staff & Law Clerks	\$175-225
Pritzker Levine	
Partners	\$695
Of Counsel and Associates	\$495-625

• In *Armstrong v. Brown*, N.D. Cal. No. 4:94-cv-02307-CW, Stipulated Order Confirming Undisputed Attorneys' Fees and Costs for the Third Quarter of 2017, filed December 19, 2017 (Dkt. No. 2708), a prisoners' rights class action, the court approved the following **2017** hourly rates for monitoring the injunction in that matter:

Years of Experience	Rate
37	\$950
33	\$825
20	\$780
24 (Of Counsel)	\$700
12 (Partner)	\$650
9 (Associate)	\$490
8	\$480
7	\$470
6	\$440
Paralegals	\$240-325

• In *National Federation of the Blind of California v. Uber Technologies, Inc.*, N.D. Cal. No. 14-cv-04086 NC, Order Granting Final Approval and Attorneys' Fees, filed December 6, 2016 (Dkt. No. 139), a class action against Uber alleging that it violated federal antidiscrimination laws by allowing its drivers to refuse to accept service dogs, in which the court found the following **2016** hourly rates reasonable (before applying a 1.5 lodestar multiplier under California law):

Class	Rate
1980	\$900
1985	\$895
1997	\$740
2005	\$645
2010	\$475
2011	\$460

2014	\$355
Paralegals	\$275
Summer Associates	\$275-280
2	\$265

• In *Civil Rights Education and Enforcement Center v. Ashford Hospitality Trust, Inc.*, 2016 U.S. Dist. LEXIS 37256 (N.D. Cal. March 22, 2016), an action challenging defendants' hotels' failure to provide wheelchair accessible transportation, the court found the following 2015 hourly rates reasonable:

Years of Experience	Rate
41	\$900
24	\$750
10	\$550
8	\$500
5	\$430
Paralegal	\$250

SURVEYS OF LAW FIRMS RATES

34. Class Counsel's rates here also are consistent with the range of rates described in several credible legal rate surveys and articles, including the following:

• On August 27, 2019, Law.Com published an article by Mike Scarcella and Macia Coyle, entitled "What New Supreme Court Cases Reveal About Big Law Billing Rates" (copy attached as Exhibit B). That article revealed that top-flight appellate attorneys are charging rates as high as \$1,800 and \$1,350 per hour. Here, even though Class Counsel are national leaders in their field, their hourly rates here are 25-40% *lower* than those "top of the market" rates.

• In December 2015, Thomson Reuters published its Legal Billing Report, Volume 17, Number 3. A true and correct copy of the pages of that report listing California and West Region firms is attached to hereto as Exhibit C. It shows that the rates claimed by Plaintiffs' law firms here are well within the range of rates that other Bay Area law firms were charging five years ago for reasonably comparable work. For example, it shows that in 2015, Paul Hastings billed a 19-year attorney at \$975 hour, which is significantly higher than the 2019 rates requested here by several of

1 or other reliable sources by numerous California law firms or law firms with offices or practices in
 2 California. These rates include, in alphabetical order:

<i>Altshuler Berzon LLP</i>		
2018 Rates	Graduation Year	Rate
	1968-1983	\$940
	1985	920
	1989	900
	1991	885
	1992	875
	1994	835
	1998	795
	2000	740
	2001	725
	2008	540
	2009	515
	2010	485
	2012	435
	2013	415
	2014	390
	2015	365
	Law Clerks	285
	Paralegals	250
2017 Rates:	Years of Experience/Level	Rates
	Senior Partners	\$930
	Junior Partners (1991-2001)	\$875-690
	Associates (2008-2013)	\$510-365
	Paralegals	\$250
2015 Rates:	Years of Experience/Level	Rates
	32	\$895
	Junior Partners	\$825-630
	Associates	\$450-340
	Paralegals	\$250
<i>Arnold Porter LLP</i>		
2015 Rates:	Level	Rates
	Partner	Up to \$1,085
	Associates	Up to \$710
2014 Rates:	Years of Experience	Rates
	49	\$995
	45	\$720
	39	\$655
<i>The Arns Law Firm LLP</i>		

1	2014 Rates:	Years of Experience	Rates
2		37	\$950
3		Law Clerks	\$165
4	<i>Boies Schiller & Flexner LLP</i>		
5	2017 Rates:	Bar Admittance or Law School Graduation	Rates
6		1986	\$1,049
7		2006	\$972
8		1999-2000	\$830
9		2004	\$760
10		2006	\$680
11		2007	\$714
12		2009	\$800
13	2016 Rates:	Bar Admittance	Rates
14		1988	\$960
15		2000	\$830
16		2001	\$880
17	<i>Cooley LLP</i>		
18	2017 Rates:	Years of Experience	Rates
19		22	\$902
20	2014 Rates:	Years of Experience	Rates
21		31	\$1,095
22		17	\$770
23		9	\$685
24	<i>Cotchett, Pitre & McCarthy, LLP</i>		
25	2019 Rates:	Year of CA Bar Admission	Rates
26		1965	\$950
27		1992	\$925
28		1994	\$850
		2006	\$750
		Senior Associate	\$600
		Associates	\$375-425
		Paralegals, Case Assistants, Law Clerks	\$225-325
	<i>Duane Morris LLP</i>		
	2018 Rates:	Bar Admission Year	Rates
		1973	\$1,005
		2008	\$605
		2011	\$450
		2017	\$355

	Sr. Paralegal	\$395
2016 Rates:	Years of Experience	Rates
	43	\$880
	41	\$880
	26	\$720
	25	\$695
<i>Gibson Dunn & Crutcher LLP</i>		
2017 Rates (* rate increased in Sept. 2017)	Bar Admittance or Law School Graduation	Rates
	1987	*\$852/\$956
	1987	\$944
	1997	\$960
	2006	\$736
	2008	*\$592/\$696
	2013	*\$404/\$600
	2015	\$520
	2016	\$472
Non-Attorney		\$216-335
2016 Rates:	Bar Admittance	Rates
	1987	\$852
	2010	\$540
	2013	\$404
2015 Rates:	Years of Experience	Rates
	37	\$1,125
	23	\$955
	3	\$575
<i>Hagens Berman Sobol Shapiro LLP</i>		
2017 Rates:	Levels:	Rates
	Senior Attorney	\$950
	Other Partners	\$578-760
	Associates	\$295-630
<i>Hooper, Lundy & Bookman</i>		
2019 Rates:	Law School Graduation Year	Rates
	1975	\$1,025
	1976	\$965
	1979	\$1,025
	2007	\$815
	2011	\$800
	2015	\$640

	2016	\$600
	2019	\$440
2018 Rates:	Law School Graduation Year	Rates
	1975	\$1,025
	1976	\$930
	1979	\$995
	2015	\$570
<i>Jones Day</i>		
2016 Rates:	Bar Admission Year	Rates
	2001	\$900
	2014	\$450
2015 Rates:	Bar Admission Year	Rates
	2001	\$875
	2014	\$400
<i>Keker & Van Nest, LLP</i>		
2018 Rates:	Years of Experience	Rates
	18	\$875
	5	\$600
	3	\$500
2017 Rates:	Years of Experience	Rates
	9	\$650
	5	\$525
	Other Partners	\$525-975
	Associates	\$340-500
	Paralegals/Support Staff	\$120-260
<i>Kirkland & Ellis</i>		
2017 Rates:	Years of Experience	Rates
	20	\$1,165
	9	\$995
	8	\$965
	5	\$845
	4	\$845
	3	\$810
	2	\$555
<i>Latham & Watkins</i>		
2016 Rates:	Average	Rates
	Average Partner	\$1,185.83
	Highest Partner	\$1,595

	Lowest Partner	\$915
	Average Associate	\$754.62
	Highest Associate	\$1,205
	Lowest Associate	\$395
Lieff Cabraser Heimann & Bernstein, LLP		
2020 Rates:	Law School Grad. Year	Rates
	1972	\$1,075
	1998	\$950
	1993	\$900
	1984	\$850
	2000	\$775
	2001-2002	\$700
	2005	\$650
	2007	\$590
	2008	\$560
	2012	\$480-510
	2015	\$440
	2017	\$395
	Law Clerk	\$375-395
	Paralegal/Clerk	\$345-390
	Litigation Support/Research	\$345-495
2017 Rates:	Years of Experience	Rates
	11-16	\$510-\$675
	2-6	\$370-\$455
	0-13 (Contract Atty)	\$415
	Paralegals	\$360
2015 Rates:	Year of Bar Admission	Rates
	1972	\$975
	1989	\$850
	2001	\$625
	2006	\$435
	2009	\$435
2014 Rates:	Year of Bar Admission	Rates
	1998	\$825
Milbank, Tweed, Handley & McCloy LLP		
2016 Rates:	Bar Admission Date	Rates
	1983	\$1,025
	1984	\$1,350
	1992	\$1,350
	2002 (Associate)	\$915

<i>Morrison Foerster LLP</i>		
2018 Rates:	Years of Experience	Rates
	40	\$1,050
	22	\$950
	11	\$875
	3	\$550
	Paralegal	\$325
2017 Rates:	Bar Admission Date	Rates
	2007	\$608
	2012	\$575
2016 Rates:	Bar Admission Date	Rates
	1975	\$1,025
	1999	\$975
	1993	\$975
<i>Munger, Tolls & Olson</i>		
2016 Rates (unless otherwise noted)	Bar Admittance or Law School Graduation	Rates
	1966 (Partner)	\$1,000 (2015); \$1,245 (2016)
	1977	\$1,110 (2015)
	1981	\$910
	1985	\$995
	1992	\$875-885
	1995	\$910
	2002	\$750
	1976 (Of Counsel)	\$705
	2009 (Associates)	\$615 (2015); \$660 (2016)
	Non-Attorney Timekeepers	380-90
<i>O'Melveny & Myers</i>		
2019 Rates:	Level	Rates
	Senior Partner	\$1,250
	Partner (1998 Bar Admitted)	\$1,050
	3rd Year Associate	\$640
	2nd Year Associate	\$656
2016 Rates:	Bar Admission Date	Rates
	1985	\$1,175
	2004	\$895
	2005	\$780
	2007	\$775
	2010	\$725
	2011	\$700

	2012	\$655
	2013	\$585
	2014	\$515
	2015	\$435
<i>Paul Hastings LLP</i>		
2016 Rates:	Bar Admission Date	Rates
	1973	\$1,175
	1997	\$895
	1990	\$750
<i>Pearson Simon & Warshaw LLP</i>		
2019 Rates:	Years of Experience	Rates
	23-38	\$1,150
	10	\$900
	Of Counsel	\$825
	6	\$500
	4	\$450
	Paralegals	\$225
2018 Rates	Years of Experience	Rates
	22-37	\$1,050
	9	\$650
	Of Counsel	\$725
	5	\$450
	3	\$400
2017 Rates:	Years of Experience	Rates
	35-36	\$1,035
	8	\$520
	4	\$400
	2	\$350
<i>Proskauer Rose LLP</i>		
2016 Rates:	Bar Admission Date	Rates
	1974	\$1,475
	1983	\$1,025
	1979	\$950
	2007	\$850
	2013	\$495
	2015	\$440-445
<i>Reed Smith LLP</i>		
2020 Rates:	Years of Experience	Rates
	22	\$930

	14	\$840
	16	\$780
	Paralegals	\$250
Ropes & Gray		
2016 Rates:	Level	Rates
	Partner	\$880-1,450
	Counsel	\$605-1,425
	Associate	\$460-1050
	Paralegals	\$160-415
Rosen, Bien, Galvan & Grunfeld LLP		
2019 Rates:	Class	Rates
	Partners:	
	1962	\$1,050
	1980	\$1,000
	1981	\$940
	1984	\$860
	1997	\$800
	2005	\$700
	2008	\$640
	Of Counsel:	
	1993	\$725
	2003	\$700
	Senior Counsel:	
	2008	\$610
	2009	\$585
	Associates:	
	2010	\$540
	2011	\$525
	2013	\$460
	2015	\$440
	2016	\$400
	2017	\$350
	Senior Paralegals:	\$350
	Litigation Support/Paralegal Clerks	\$225
	Law Students:	\$275
	Word Processing:	\$85
2018 Rates:	Class	Rates
Partners:	1962	\$1,000
	1980	\$965
	1981	\$920
	1984	\$835
	1997	\$780